

Generating Coherent Messages in Real-time Decision Support: Exploiting Discourse Theory for Discourse Practice

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Abstract

This paper presents a message planner, TraumaGEN, that draws on rhetorical structure and discourse theory to address the problem of producing integrated messages from individual critiques, each of which is designed to achieve its own communicative goal. TraumaGEN takes into account the purpose of the messages, the situation in which the messages will be received, and the social role of the system.

Introduction

The generation of multisentential discourse has focused on generating text that accomplishes one particular rhetorical goal, such as describing a physical device. In contrast, to deliver real-time decision support in trauma management, our text generation system must be able to take an arbitrary and often inter-related set of communicative goals and produce a message that realizes the entire set in as concise and coherent a manner as possible. This paper presents our implemented system, TraumaGEN, that addresses this problem. It describes the strategies that we have adopted to produce coherent integrated messages and discusses how our system takes into account factors such as the social role of the system.

Real-Time Decision-Support System

TraumaAID (Webber, Rymon, and Clarke, 1992) is a decision support system for addressing the initial definitive management of multiple trauma. TraumaTIQ (Gertner and Webber, 1996) is a module that compares a physician's plan for managing patient care with TraumaAID's own management plan and critiques significant differences between them. To hypothesize the physician's plan, TraumaTIQ first chains to identify possible explanations for an action; it then evaluates these possible explanations on the basis of relevance to TraumaAID's current management plan and evidence provided by the physician's other actions. Once the best explanation(s) have been incorporated into the system's model of the physician's plan, TraumaTIQ identifies differences between that plan and TraumaAID's current management plan and notifies the physician of those discrepancies that could seriously impact patient care. These include actions that have been ordered but are not yet justified, procedures that are suboptimal, scheduling errors, and omitted actions. TraumaTIQ's critiques are conveyed

using natural language sentences generated by filling in sentence schemata.

The problem we address here is that, while in isolation each of TraumaTIQ's critiques may effectively warn a physician about a problem in their plan, in most cases when TraumaTIQ finds the physician's plan deficient, several problems are detected and thus multiple critiques are produced. We found that there was informational overlap among the critiques, that some critiques detracted from other ones, and that some critiques would make more sense if they took explicit account of those appearing earlier. Thus a text planner was needed to generate coherent and concise integrated messages that satisfy the multiple goals of the individual critiques.

Text Planning for Multiple Goals

The system we built to solve this problem, TraumaGEN, is presented with several communicative goals and a means for achieving each goal in isolation. It uses a set of transformational rules to transform these into coherent message units that achieve the overall set of goals. These message units are then translated into natural language using sentence schemata.

This transformational process differs from previous text planning efforts in several ways. First, TraumaGEN's eventual message must achieve several top-level communicative goals as a unit. This differs from traditional text planners that must satisfy a single rhetorical goal such as relating the temporal sequence of events in which a particular ship is a part (Hovy, 1991) or achieve a single intentional goal such as getting the user to make a particular change in a Lisp program (Moore and Paris, 1993). In such cases, the text planner can construct a plan top-down from the single goal and include those propositions that fit into a coherent piece of text and contribute to achieving the top-level goal. Although Wolz (1990) developed a system for generating text satisfying dual, but related, discourse goals such as responding and enriching, her system focused on eliminating obvious or redundant information, not on producing integrated messages from individual, possibly conflicting, critiques. Similarly, the WISHFUL system (Zukerman and McConachy, 1995) includes an optimization stage during which it chooses the optimal way to achieve a set of related communicative goals; however, the system can choose to eliminate propositions and it does not have to deal with conflict within the information to be conveyed.

Individual critiques produced by TraumaTIQ:

Caution: check for medication allergies and order pulmonary care immediately to treat the left pulmonary parenchymal injury.

Caution: check for medication allergies and order pulmonary care immediately to treat the compound rib fracture of the left chest.

Caution: check for medication allergies and do a laparotomy immediately to treat the intra-abdominal injury.

Caution: do a laparotomy and repair the left diaphragm immediately to treat the lacerated left diaphragm.

Consider checking for medication allergies now to treat a possible GI tract injury.

Merged message:

Caution: check for medication allergies as part of treating the left pulmonary parenchymal injury, treating the compound rib fracture of the left chest, treating the intra-abdominal injury, and treating a possible GI tract injury. Then order pulmonary care to complete treating the left pulmonary parenchymal injury and treating the compound rib fracture of the left chest, and do a laparotomy to complete treating the intra-abdominal injury.

Figure 1: Original Critiques and a Merged Message

Second, the means for achieving each of the individual goals has already been identified by other modules. Thus TraumaGEN is not responsible for identifying the content of the message but must instead determine how to realize an effective overall message from the set of individual critiques. We note that this problem is likely to arise elsewhere as sophisticated systems distribute their processing across individual modules, each of which may need to communicate with the user.

Although natural language has been used in other health care systems such as MIGRAINE (Buchanan et al., 1995) and Piglet (Binsted, Cawsey, and Jones, 1995), their applications have not required that they combine several independent but inter-related text plans into a single integrated message. The work most closely related to ours is HealthDoc (Hirst and DiMarco, 1996; Wanner and Hovy, 1996); however, HealthDoc (currently under development) focuses on editing sentences selected from a master text, such as by inserting pronouns or by deleting references to propositions that do not appear earlier in the selected text.

Constructing Effective Message Units

The nature of trauma management and our observations of communication in the emergency room trauma bay suggested several features that should influence the generation process:

- **Purpose:** Since the purpose of messages is to support decision-making, the system's recommendations should continue to be organized in terms of relevant domain goals, so that the physician can evaluate the system's recommendations and decide whether to adopt them.
- **Situation:** Since the emergency room is a chaotic setting for time-critical decisions, the messages must be succinct, unambiguous, and easily assimilated.
- **Social role:** Since the system's social role on the medical team is that of an expert consultant to the physi-

cian who retains responsibility for the quality of patient care, it must recognize that the physician can ignore its recommendations. This differs from other scenarios, such as tutoring, where the system is the sole arbiter of correct behavior.

Our transformational rules take these factors into account.

Informational Overlap One prevalent problem in TraumaTIQ's output is *informational overlap* — actions often appear in several different warnings and thus the message as a whole appears repetitious. For example, the upper half of Figure 1 presents a set of five individual warning messages generated by TraumaTIQ at one point in a case. Each warns about the omission of warranted actions; the fifth one is realized differently due to the lesser estimated *disutility* of the identified error. While it seems obvious that this set of comments should be combined into a more coherent message, it is much less clear which of many ways to effect the combination. Our approach for merging critiques is motivated by four often conflicting goals: 1) group by relevant treatment goals, 2) avoid repeated mention of the same actions, since this can erroneously suggest multiple instances of the action, 3) produce concise messages, and 4) produce few, rather than many, individual messages.

Rhetorical structure theory (Mann and Thompson, 1987) posits that a coherent text plan consists of segments related to one another by rhetorical relations such as motivation or background. To address the problem of informational overlap, we found that it was necessary to draw on the multi-nuclear *Sequence* relation of RST. We posited that separate plans for similar communicative goals involving sets of recommended actions in the original messages (such as the two plans in Figure 2) could be reorganized as a sequence of communicative goals in a single plan, with the recommended actions distributed over the sequentially related goals (as in the plan in Figure 3), as long as the new plan captures the relationships

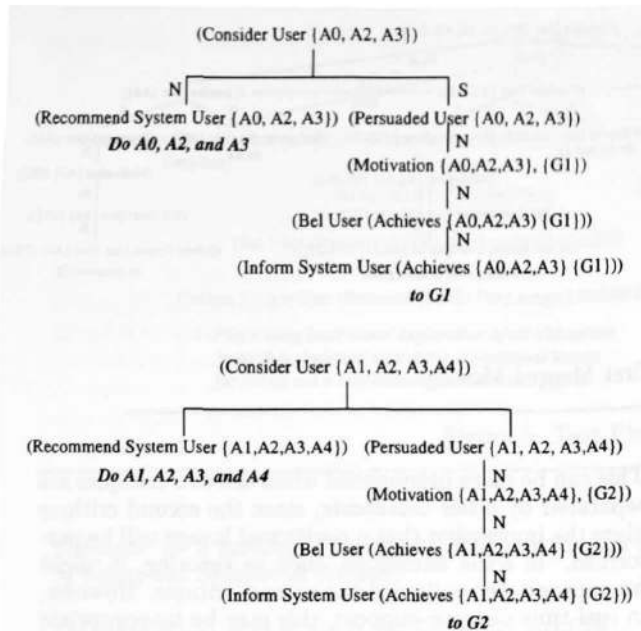


Figure 2: Two Individual Text Plans

between recommended actions and their motivations given in the original plans. Reorganizing messages into sequentially related goals allowed us to construct merged messages that exploit informational overlap in the individual messages yet still achieve the goals of the original messages.

Thus our first rule, *Combine-Similar-Intentions*, looks for overlap among the components of individual messages that have a similar communicative goal (such as a goal of getting the physician to perform some omitted actions) and evaluates the resultant message using a metric that weighs 1) the number of segments that a goal is distributed over in the resultant message, 2) the reduction in repetition of actions, 3) whether goals must be repeated, and 4) the number of individual critiques that are merged.

The first term in our metric measures how much the original messages were reorganized. Since the original messages represent an organization in terms of treatment goals, the more segments comprising a merged message, the less the message is organized in terms of how to address a relevant goal and the more it resembles an action recipe. The merged message in Figure 1 consists of two segments, each realized as a sentence. One consequence is that the goal of treating the compound rib fracture is now distributed across both segments. We arbitrarily limit combined messages to three segments in order to maintain a goal-oriented organization, as dictated by the *purpose* of our messages.

The next three components of our metric measure how well a merge achieves concise, unambiguous, and easily assimilated messages (as required by the *situation* in which the messages will be received). The reduction in repetition of actions contributes both to concise mes-

sages and decreasing ambiguity; however, achieving this often requires the repetition of goals, which detracts from the succinctness of the message. We hypothesize that a few coherent messages will be more easily assimilated than many individual messages and thus our metric takes into account the number of individual critiques that are merged into the resultant message.

Figure 2 illustrates the text plans underlying the individual critiques:

Caution: do $\langle A_0 \rangle$, $\langle A_2 \rangle$, and $\langle A_3 \rangle$ to $\langle G_1 \rangle$.

Caution: do $\langle A_1 \rangle$, $\langle A_2 \rangle$, $\langle A_3 \rangle$, and $\langle A_4 \rangle$ to $\langle G_2 \rangle$.

while Figure 3 illustrates the text plan produced by TraumaGEN for the merged message

Do $\langle A_0 \rangle$ as part of $\langle G_1 \rangle$ and $\langle A_1 \rangle$ as part of $\langle G_2 \rangle$. Next do $\langle A_2 \rangle$ and $\langle A_3 \rangle$ to address both of these goals. Then do $\langle A_4 \rangle$ to complete $\langle G_2 \rangle$.

For reasons of efficiency and real-time response, TraumaGEN applies its transformational rules directly to the logical form of the critiques produced by TraumaTIQ. An example of an actual merged message produced by TraumaGEN is shown in Figure 1.

Trailing Comments When several critiques are merged into a single message, the message may refer to actions that are also part of critiques that did not participate in the merge. Once these actions have been introduced in the merged message, discourse theory, particularly work on focusing heuristics (McKeown, 1985), suggest that the other critiques referencing these actions be included in the merged message as well. However, rather than restructure the result of our merge transformation, we append them to the end of the message. Thus we refer to them as *trailing comments*.

Unfortunately, trailing comments have the potential to erroneously suggest new instances of actions. Our solution to this problem is to (1) make the previously focused action the subject of the sentence, reflecting its *given* status in the discourse, and (2) utilize cue words to call additional attention to its occurrence earlier in the message and to the new information being conveyed. Thus the first trailing comment is introduced with the cue word *moreover* since this cue word carries the implication of saying more about something already discussed, and the cue word *also* is used to introduce the additional information. In the following critique, for example, the final sentence (underlined for exposition) contains a trailing comment:

Check for distended neck veins and decreased breath sounds to assess the possibility of a left tension pneumothorax and a pericardial tamponade. Then check for muffled heart sounds and continued shock to complete assessing the possibility of a pericardial tamponade. Moreover, checking for muffled heart sounds is also indicated to assess the possibility of a pericardial injury.

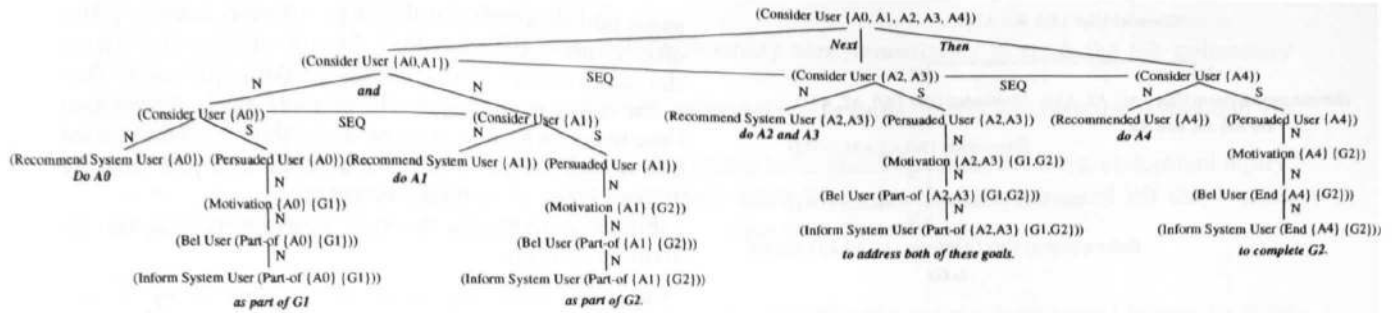


Figure 3: Text Plan for First Merged Message

Performing local visual exploration of all abdominal wounds is preferred over doing a peritoneal lavage for ruling out a suspicious abdominal wall injury.

Please remember to check for laparotomy scars before you do a peritoneal lavage.

Figure 4: Two Conflicting Critiques

If there is a second trailing comment, it is then introduced with the cue phrase *in addition* since it suggests presenting new information of a similar nature, in this case another reference to a previously introduced action.

A trailing comment may need to refer to other actions in addition to the one previously focused on. We accomplish this by subordinating those actions in a phrase introduced by the cue phrase *along with*, in a sentence in which the previously focused action is the subject. For example, in Figure 1, the fourth critique is not included in the merged message but includes an action (*doing a laparotomy*) that is part of the merged message. Thus the fourth critique is realized as a trailing comment at the end of the merged message:

Moreover, doing the laparotomy is also indicated, along with repairing the left diaphragm, to treat the lacerated left diaphragm.

Revising Interacting Critiques In TraumaTIQ's original output, we noticed that one critique could detract from another critique, although each was both justified and coherent in isolation. Consider, for example, the two critiques shown in Figure 4. The first cautions the physician that a procedure other than the just-ordered peritoneal lavage is the recommended procedure in this instance, although the disparity is not critical. The second reflects the fact that a peritoneal lavage should not be done on someone with abdominal scarring. Since TraumaAID does not yet have any information about the presence of abdominal scarring in this patient, the critique reminds the physician of the need to first check for it.

However, together the two critiques appear incoherent.

This can be more pronounced when the two critiques are separated by other comments, since the second critique gives the impression that a peritoneal lavage will be performed. In some situations, such as tutoring, it might be appropriate to discard the second critique. However, in real-time decision-support, this may be inappropriate since it presumes that the system is the sole arbiter of high-quality performance whose advice must be followed.

Our solution to this problem is to allow revision rules that are triggered when two critiques have a potential conflict. In such cases, the two critiques are merged into a single message, and the conflicting critique is revised. For example, our rule *Revise-Conflict* is triggered whenever a critique whose goal is that an action be properly scheduled occurs with a critique whose intention is that the action be replaced with one more highly preferred. *Revise-Conflict* merges the two critiques into a single message, where the merged message reflects a *concession* that the original action might still be executed and the scheduling critique is revised so that it is conditionally dependent on the original action being done. For example, in the case of the critiques in Figure 4, the two critiques would be revised and merged into the single message

Performing local visual exploration of all abdominal wounds is preferred over doing a peritoneal lavage for ruling out a suspicious abdominal wall injury. However, if you do a peritoneal lavage, then remember to first check for laparotomy scars.

Figure 5 illustrates the text plan underlying the revised message. Note that the new message still recommends the better procedure, but leaves the final choice with the physician who is responsible for quality patient care. On the other hand, if the second critique in Figure 4 appeared by itself, no revision of the message would occur.

Another rule, *Revise-Interactions*, is triggered when a critique whose goal is to postpone a dependent action occurs in conjunction with a critique whose goal is execution of the action on which the dependency is based. For example, Figure 6 presents two of TraumaTIQ's critiques. While the two critiques do not conflict, the relation between their communicative goals can be exploited to produce a more concise and coherent message. *Revise-Interactions* establishes a *Sequence* relation between do-

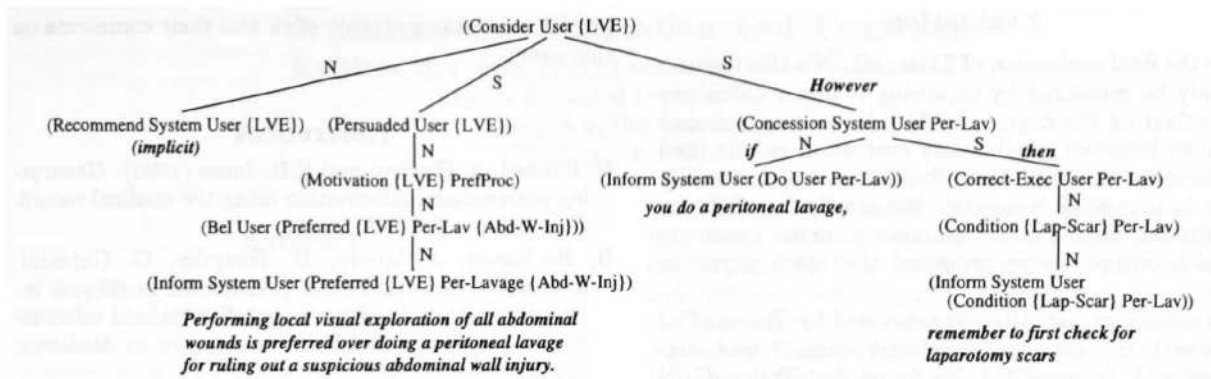


Figure 5: Text Plan for Revised Message

Caution: do a peritoneal lavage immediately as part of ruling out abdominal bleeding.

Do not reassess the patient in 6 to 24 hours until after doing a peritoneal lavage. The outcome of the latter may affect the need to do the former.

Figure 6: Two Dependent Critiques

ing the peritoneal lavage and the decision about whether to do the reassessment, and produces the message

Do a peritoneal lavage immediately as part of ruling out abdominal bleeding. Use the results of the peritoneal lavage to decide whether or not to reassess the patient in 6 to 24 hours.

Other Influences on Effective Messages

The Role of Focus Focus (Grosz, 1977; McKeown, 1985) has been the objective of much discourse research, and it plays several roles in our generation of messages. As noted earlier, trailing comments capture communicative goals that relate to previously mentioned actions, and cue words are used to shift focus back to the earlier actions. In addition, if there is more than one trailing comment, they appear in order of the most recently introduced action, thus representing successive pops of a focus stack.

Focus also affects the way in which some communicative goals are realized in messages. For example, if a goal of getting the user to recognize several scheduling constraints is the sole content of a message, it would be realized with the subordinate clause first to call attention to the ordering constraint, as in the following:

Before getting the urinalysis, insert the left chest tube and get the post chest tube x-ray because they have a higher priority.

However, if the physician has omitted some of the actions and the scheduling constraint is incorporated into the text plan for getting the physician to do the omitted

actions, then focus considerations dictate that the main clause appear first since it continues the actions in focus. The following is such an example produced by our system:

Check for medication allergies, give antibiotics, set up the auto-transfuser for the left chest tube, insert a left chest tube, and get a post chest tube x-ray to treat the simple left hemothorax. Insert the left chest tube and get the post chest tube x-ray before doing the peritoneal lavage because they have a higher priority.

Definite Versus Indefinite References Critiques or any other message from the system should be phrased in terms of what is *shared knowledge* in the emergency room. We equate shared knowledge with the current state of the case, as it has been entered into the computer-based medical record (CBMR). When a procedure is ordered, it thus becomes part of this shared knowledge. Consequently, we use definite articles to refer both to procedures and actions already introduced into the treatment plan by one of the system's messages and to entities introduced via the scribe nurse's entry of a procedure or action into the CBMR. For example, even though a peritoneal lavage does not appear in any of the system's earlier messages, a message about a related scheduling precondition will be realized as:

Please remember to check for laparotomy scars before you do the peritoneal lavage.

However, the system may disagree with the physician about whether a procedure is appropriate. Since the use of the definite article suggests an action's acceptance into the treatment plan, we use indefinite expressions when referring to procedures about which there is conflict. For example, if the physician has ordered a peritoneal lavage and the system believes that the need for it is dependent on the results of a chest x-ray, the system would generate the message

Do not do a peritoneal lavage until after getting a chest x-ray since the outcome of the latter may affect the need to do the former.

Evaluation

While the final evaluation of TraumaGEN's effectiveness can only be measured by deploying it in a trauma bay and evaluating the degree to which its messages change physician behavior, preliminary evaluation can be used to determine its benefits and limitations and to identify where further work is needed. We ran TraumaGEN on 48 collected cases of actual trauma care under a scenario in which critiques were produced after each physician order.

We compared the critiques generated by TraumaTIQ alone with the messages produced when it was augmented with TraumaGEN. We found that TraumaGEN reduced the number of messages, resulted in more concise messages (measured in terms of the number of noun phrases in a message), and required fewer shifts in focus to assimilate the messages.

To evaluate coherence and quality of the messages, we asked a human subject not affiliated with our project to evaluate the new messages with respect to the original ones. The subject was given the messages produced by TraumaTIQ and TraumaGEN for a dozen cases. In ten of the twelve cases, the human subject preferred TraumaGEN's messages; in eight of these cases, the preference was very strong while in the other two cases it was moderate. In the single instance in which the subject preferred the original messages produced by TraumaTIQ, the preference was based on the English translation of two goals; the subject found the phrasing confusing when the messages were combined (since the two goals had very similar translations) but not confusing when the messages were separated. The subject's comments indicated that his preferences for TraumaGEN's messages were generally based on reduction of repetition, merging of related messages, and elimination of conflict.

Conclusion

This paper has presented our message planner, TraumaGEN, that draws on rhetorical structure and discourse theory to produce integrated messages from individual critiques each of which is designed to achieve its own communicative goal. The need to construct coherent text from multiple individual text plans is a problem that will increasingly face natural language systems as sophisticated systems distribute their processing across individual modules each of which may need to communicate with the user. TraumaGEN takes into account knowledge about the *purpose* of the messages, the *situation* in which the messages will be received, and the *social role* of the system. Preliminary evaluation of TraumaGEN indicates that it successfully constructs coherent integrated messages from individual critiques and that the resultant messages are comprehensible and a significant improvement over the original critiques.

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