

# SET-VALUED TABLEAUX RULE FOR LASCoux POLYNOMIALS

Tianyi Yu<sup>1</sup>

<sup>1</sup>*Department of Mathematics, UC San Diego, California, U.S.A.  
tiy059@ucsd.edu*

Submitted: Oct 22, 2021; Accepted: Jan 3, 2023; Published: Mar 15, 2023

© The author. Released under the CC BY license (International 4.0).

**Abstract.** Lascoux polynomials generalize Grassmannian stable Grothendieck polynomials and may be viewed as K-theoretic analogs of key polynomials. The latter two polynomials have combinatorial formulas involving tableaux: Lascoux and Schützenberger gave a combinatorial formula for key polynomials using right keys; Buch gave a set-valued tableau formula for Grassmannian stable Grothendieck polynomials. We establish a novel combinatorial description for Lascoux polynomials involving right keys and set-valued tableaux. Our description generalizes the tableaux formulas of key polynomials and Grassmannian stable Grothendieck polynomials. To prove our description, we construct a new abstract Kashiwara crystal structure on set-valued tableaux. This construction answers an open problem of Monical, Pechenik and Scrimshaw.

**Keywords.** Lascoux polynomials, set-valued tableaux, crystal operators

**Mathematics Subject Classifications.** 05E05

## 1. Introduction

In this paper, we establish a combinatorial rule for Lascoux polynomials using a combinatorial proof. Lascoux polynomials, denoted by  $\mathfrak{L}^{(\beta)}$ , are a  $\mathbb{Z}[\beta]$ -basis for  $\mathbb{Z}[\beta][x_1, x_2, \dots]$  indexed by weak compositions (infinite sequence of non-negative integers with finitely many positive entries). They are related to the following polynomials:

- Schur polynomials: denoted by  $s_\lambda$ , which are symmetric polynomials in  $\mathbb{Z}[x_1, x_2, \dots]$  indexed by partitions (finite weakly decreasing sequence of positive integers). They played an important role in representation theory of the symmetric group and the general linear group.
- Key polynomials: denoted by  $\kappa_\alpha$ , which are polynomials in  $\mathbb{Z}[x_1, x_2, \dots]$  indexed by weak compositions. They were introduced by Demazure in [Dem74] for Weyl groups and are characters of Demazure modules.

- Grassmannian stable Grothendieck polynomials: denoted by  $G_\lambda^{(\beta)}$ , which are polynomials in  $\mathbb{Z}[\beta][x_1, x_2, \dots]$  indexed by partitions. Note that the  $\beta$  is also an indeterminant. These polynomials are symmetric in the  $x$  variables. They represent Schubert classes in the connective K-theory of Grassmannians.

The relations between these four polynomials can be described as follows.

- Key polynomials generalize Schur polynomials. More explicitly, assume  $\alpha$  is a weak composition whose first  $n$  entries are weakly increasing and all other entries are 0. Let  $\lambda$  be the partition we get when we sort  $\alpha$  into a weakly decreasing sequence and remove the trailing 0s. Then

$$\kappa_\alpha = s_\lambda|_{x_{n+1}=x_{n+2}=\dots=0}.$$

- Grassmannian stable Grothendieck polynomials are K-theoretic analogs of Schur polynomials:  $G_\lambda^{(0)} = s_\lambda$ .
- Extending this viewpoint, Lascoux polynomials may be viewed as K-theoretic analogs of key polynomials:  $\mathfrak{L}_\lambda^{(0)} = \kappa_\lambda$ .
- Lascoux polynomials generalize Grassmannian stable Grothendieck polynomials in a manner analogous to the generalization of Schur polynomials by key polynomials.

Their relations are summarized in the following diagram:

$$\begin{array}{ccc} \mathfrak{L}_\alpha^{(\beta)} & \xrightarrow{\text{specialize}} & G_\lambda^{(\beta)} \\ \downarrow \beta=0 & & \beta=0 \downarrow \\ \kappa_\alpha & \xrightarrow{\text{specialize}} & s_\lambda \end{array}$$

Here is another perspective to see how Lascoux polynomials fit into the larger picture. Lascoux and Schützenberger found an expansion of Schubert polynomials into key polynomials [LS89]. This expansion was proved by Reiner and Shimozono [RS95]. Grothendieck polynomials are K-theoretic analogs of Schubert polynomials [LS82]. Buch, Kresch, Shimozono, Tamvakis and Yong [BKS<sup>+</sup>08] proved the stable limit version of this expansion. They expanded symmetrized Grothendieck polynomials into Grassmannian stable Grothendieck polynomials. Finally, Reiner and Yong [RY21] conjectured an expansion of Grothendieck polynomials into Lascoux polynomials, generalizing expansions in both [RS95] and [BKS<sup>+</sup>08]. Shimozono and Yu [SY21] proved this conjecture.

Polynomials in the diagram above have tableaux formulas. Schur polynomials are generating functions of semistandard Young tableaux (SSYT):

$$s_\lambda = \sum_T x^{\text{wt}(T)} \tag{1.1}$$

where the sum is over all SSYT with shape  $\lambda$  (see §2 for relevant definitions). Lascoux and Schützenberger generalized Equation (1.1) by providing a combinatorial formula for key polynomials (Equation (2.3)) using right keys. On the other hand, Buch generalized Equation (1.1) by establishing a set-valued tableaux (SVT) formula for Grassmannian stable Grothendieck polynomials (Equation (2.4)). We generalize all three formulas by providing a novel combinatorial formula for Lascoux polynomials involving both right keys and SVT.

There already exist various combinatorial formulas of Lascoux polynomials:

- Buciumas, Scrimshaw and Weber [BSW20] established a SVT rule involving the right keys and the Lusztig involution, which was first conjectured by Pechenik and Scrimshaw [PS19].
- Buciumas, Scrimshaw and Weber [BSW20] established a set-valued skyline filling formula, which was first conjectured by Monical [Mon16].
- Buciumas, Scrimshaw and Weber [BSW20] established reverse set-valued tableaux rule involving the left keys. It was then rediscovered by Shimozono and Yu [SY21]. This rule can also be rephrased into a form that involves reverse semistandard Young tableaux.
- Ross and Yong [RY13] conjecture a rule that involves diagrams. Their conjectural rule extends the Kohnert diagram rule for key polynomials. In the special case where all positive numbers in  $\alpha$  are the same, this conjecture is proved in [PS19].

We are going to provide another SVT rule for Lascoux polynomials (Theorem 3.16). In general, our rule and the rule in [BSW20] sum over different sets of SVT. Moreover, our rule is easier since it does not involve the Lusztig involution. In addition, we may view Theorem 3.16 from the tableau complex viewpoint [KMY08]. For each  $\mathfrak{L}_\alpha^{(\beta)}$ , the SVT we summed over form a simplicial complex. It is a sub-complex of the *Young tableau complex* in [KMY08].

To prove our result, we defined operators  $f_i, e_i$  on SVT and obtain an abstract Kashiwara crystal structure. Our operators generalize the classical crystal operators on SSYT. However, our construction is not isomorphic to the crystal basis of a  $U_q(\mathfrak{sl}_n)$ -representation. In addition, we defined operators  $f'_i, e'_i$  which can be viewed as “square roots” of our  $f_i$  and  $e_i$ . Notice that Monical, Pechenik and Scrimshaw [MPS21] have already defined a crystal structure on SVT, which comes from a  $U_q(\mathfrak{gl}_n)$ -representation. However, their crystal operators are not compatible with  $K_+(\cdot)$  introduced in §3.

Our proof mimics Kashiwara’s study of Demazure modules and crystal basis [Kas93]. Based on our crystal, we define  $i$ -strings similar to [Kas93]. A key step of our proof is Corollary 4.30, which is an analogous result of [Kas93, Proposition 3.3.5]. Besides being crucial in the proof, our crystal structure is a K-theoretic analogue of the Demazure crystal introduced in [Kas93]. It can also be viewed as a solution to [MPS21, Open Problem 7.1] in the context of abstract Kashiwara crystals.

The rest of the paper is organized as follows. In §2, we will give background. In §3, we define the right keys for SVT and introduce our main result Theorem 3.16. In §4, we construct a Kashiwara crystal on SVT and prove Theorem 3.16. In §5, we explain why our crystal can be viewed as a K-analogue of the Demazure crystal and an answer to [MPS21, Open Problem 7.1]. In §6, we extend our main result to Lascoux atoms.

## 2. Background

### 2.1. Lascoux Polynomials

The symmetric group  $S_n$  acts on the polynomial ring  $\mathbb{Z}[\beta][x_1, x_2, \dots]$  by permuting the  $x$  variables. Let  $s_i \in S_n$  denote the transposition that swaps  $i$  and  $i+1$ . Following [LS89] and [Las01], we define four operators on  $\mathbb{Z}[\beta][x_1, x_2, \dots]$ :

$$\begin{aligned}\partial_i(f) &= (x_i - x_{i+1})^{-1}(f - s_i f) \\ \pi_i(f) &= \partial_i(x_i f) \\ \partial_i^{(\beta)}(f) &= \partial_i(f + \beta x_{i+1} f) \\ \pi_i^{(\beta)}(f) &= \partial_i^{(\beta)}(x_i f).\end{aligned}$$

These four operators satisfy the braid relations.

A weak composition is an infinite sequence of nonnegative integers with finitely many positive entries. When we write a weak composition, we ignore the trailing 0s. Let  $\alpha$  be a weak composition. We use  $\alpha_i$  to denote the  $i^{\text{th}}$  entry of  $\alpha$ . The *Lascoux polynomial*  $\mathfrak{L}_\alpha^{(\beta)}$  is defined by [Las04]

$$\mathfrak{L}_\alpha^{(\beta)} = \begin{cases} x^\alpha & \text{if } \alpha \text{ is a partition} \\ \pi_i^{(\beta)} \mathfrak{L}_{s_i \alpha}^{(\beta)} & \text{if } \alpha_i < \alpha_{i+1}. \end{cases} \quad (2.1)$$

The *key polynomial*  $\kappa_\alpha$  is defined by

$$\kappa_\alpha = \mathfrak{L}_\alpha^{(\beta)}|_{\beta=0}. \quad (2.2)$$

### 2.2. Tableaux

In this subsection, we define a *tableau* as a filling of a diagram  $\lambda/\mu$  with  $\mathbb{Z}_{>0}$ . A tableau has *normal* (resp. *antinormal*) shape if it is empty or has a unique northwestmost (resp. southeastmost) corner. A *semistandard Young tableau* (SSYT) is a tableau whose columns are strictly increasing and rows are weakly increasing. Let  $T$  be a SSYT. The *weight* of  $T$ , denoted by  $\text{wt}(T)$ , is a weak composition whose  $i^{\text{th}}$  entry is the number of  $i$  in  $T$ . The *column order* is a total order on cells of  $T$ . It goes from left to right and from bottom to top within each column. The *column word* of  $T$ , denoted by  $\text{word}(T)$ , is the word we get if we read the number in each cell of  $T$  in the column order.

A *key* is a SSYT with normal shape such that each number in the  $j^{\text{th}}$  column also appears in the  $(j-1)^{\text{th}}$  column. There are natural bijections between weak compositions and keys. Let  $\text{key}(\cdot)$  be the map that sends the weak composition to its corresponding key. Its inverse map is simply  $\text{wt}(\cdot)$ . For instance,

$$\text{key}(1, 0, 3, 2) = \begin{array}{|c|c|c|} \hline 1 & 3 & 3 \\ \hline 3 & 4 & \\ \hline 4 & & \\ \hline \end{array}$$

The Knuth equivalence  $\sim$  is defined on the set of all words by the transitive closure of

$$uxzyv \sim uzxyv \text{ if } x \leq y < z,$$

$$uyxzv \sim uyzxv \text{ if } x < y \leq z,$$

where  $u$  and  $v$  are words. From [Fu196], for each SSYT  $T$ , there exists a unique SSYT  $T^{\searrow}$  with antinormal shape such that  $\text{word}(T) \sim \text{word}(T^{\searrow})$ .

Each SSYT  $T$  with normal shape is associated with a key called the *right key*. It has the same shape as  $T$  and is denoted by  $K_+(T)$ . Let  $T_{\geq j}$  be the tableau we get if we remove the first  $j - 1$  columns of  $T$ . Then column  $j$  of  $K_+(T)$  is defined as the rightmost column of  $T_{\geq j}^{\searrow}$ . In §3, we will describe an easier way to compute  $K_+(T)$ .

**Example 2.1.** Let  $T$  be the following SSYT:

1	2	4	7
3	5	6	
4	8		
6			

Then  $T_{\geq 1} = T$ . Consider the following SSYT  $T'$  with antinormal shape:

			2
		3	4
	1	5	7
4	6	6	8

Notice that  $\text{word}(T) = 6431852647 \sim 4616538742 = \text{word}(T')$ , so  $T' = T^{\searrow}$ . Thus, column 1 of  $K_+(T)$  consists of  $\{2, 4, 7, 8\}$ . Similarly,  $T_{\geq 2}^{\searrow}$ ,  $T_{\geq 3}^{\searrow}$  and  $T_{\geq 4}^{\searrow}$  are

			4				
		2	7			4	
	5	6	8		6	7	
							7

Thus,  $K_+(T)$  is

2	4	4	7
4	7	7	
7	8		
8			

Finally, we can introduce a well-known combinatorial rule of key polynomials [LS90, LS89]. Let  $\alpha$  be a weak composition. Let  $\text{SSYT}(\alpha)$  be the set of all SSYT such that  $T$  has the same shape as  $\text{key}(\alpha)$  and  $K_+(T) \leq \text{key}(\alpha)$  where the comparison is entry-wise. Then

$$\kappa_\alpha = \sum_{T \in \text{SSYT}(\alpha)} x^{\text{wt}(T)}. \tag{2.3}$$

### 2.3. Abstract Kashiwara crystal

First, we will introduce *Abstract Kashiwara crystals* [Kas90] [Kas91] following [BS17].

**Definition 2.2.** [BS17, Definition 2.13] An *abstract Kashiwara  $GL_n$ -crystal* is a nonempty set  $\mathcal{B}$  together with the following maps:

$$\begin{aligned} e_i, f_i : \mathcal{B} &\rightarrow \mathcal{B} \sqcup \{\mathbf{0}\}, \\ \varepsilon_i, \varphi_i : \mathcal{B} &\rightarrow \mathbb{Z} \sqcup \{-\infty\}, \\ \text{wt} : \mathcal{B} &\rightarrow \mathbb{Z}^n, \end{aligned}$$

where  $i \in [n-1]$ , satisfying the following two conditions.

**K1:** For all  $X, Y \in \mathcal{B}$ , we have  $e_i(X) = Y$  if and only if  $f_i(Y) = X$ . If this is the case then

$$\begin{aligned} \varepsilon_i(Y) &= \varepsilon_i(X) - 1, \\ \varphi_i(Y) &= \varphi_i(X) + 1, \\ \text{wt}(Y) &= \text{wt}(X) + v_i - v_{i+1}, \end{aligned}$$

where  $v_1, \dots, v_n$  is the standard basis of  $\mathbb{Z}^n$ .

**K2:** For all  $X \in \mathcal{B}$ , we have

$$\varphi_i(X) = \langle \text{wt}(X), v_i - v_{i+1} \rangle + \varepsilon_i(X).$$

Furthermore,  $\mathcal{B}$  is called *seminormal* if

$$\varepsilon_i(X) = \max\{k : e_i^k(X) \neq \mathbf{0}\} \quad \text{and} \quad \varphi_i(X) = \max\{k : f_i^k(X) \neq \mathbf{0}\}$$

for all  $X \in \mathcal{B}$  and  $i \in [n-1]$ .

**Definition 2.3.** [Kas93] Let  $\mathcal{B}$  be an abstract Kashiwara  $GL_n$ -crystal. For each  $i \in [n-1]$ , an  *$i$ -string* is a sequence  $X_0, \dots, X_k \in \mathcal{B}$  satisfying:

- $e_i(X_0) = f_i(X_k) = \mathbf{0}$
- $f_i(X_j) = X_{j+1}$  for each  $j \in \{0, 1, \dots, k-1\}$ .

We say  $X_0$  is the *source* of its string. Diagrammatically, we can represent the string as:

$$X_0 \xrightarrow{i} X_1 \xrightarrow{i} X_2 \xrightarrow{i} \dots \xrightarrow{i} X_k$$

It is clear that  $\mathcal{B}$  can be broken into a disjoint union of  $i$ -strings for each  $i$ . If we know  $\mathcal{B}$  is seminormal, then we have the following well-known result regarding the weight of elements in an  $i$ -string.

**Lemma 2.4.** [BS17, Proposition 2.36] *Let  $\mathcal{B}$  be a seminormal abstract Kashiwara  $GL_n$ -crystal. Consider the  $i$ -string  $X_0, \dots, X_k$  for some  $i \in [n - 1]$ . Then  $\text{wt}(X_j) = s_i \text{wt}(X_{k-j})$  for each  $j \in \{0, 1, \dots, k\}$ , where  $s_i$  is the operator that swaps the  $i^{\text{th}}$  entry and the  $(i + 1)^{\text{th}}$  entry.*

Now we describe a well-known example of an abstract Kashiwara crystal. Let  $\mathcal{B}(\lambda, n)$  be the set of all SSYT whose shapes are  $\lambda$  and entries are in  $[n]$ . Take  $T \in \mathcal{B}(\lambda, n)$  and consider its column word. We replace each  $i$  by “(” and replace each  $i + 1$  by “). Then we remove all other numbers. The resulting word is called the  $i$ -word of  $T$ . We may pair “(” with “)” in the usual way.

**Definition 2.5.** Define  $\varepsilon_i(T)$  as the number of unpaired “(” and  $\varphi_i(T)$  as the number of unpaired “)”.

If  $\varphi_i(T) = 0$ , then  $f_i(T) := \mathbf{0}$ . Otherwise, we can find the  $i$  in  $T$  that corresponds to the last unpaired “)” in the  $i$ -word. We change this  $i$  into  $i + 1$  and get  $f_i(T)$ .

If  $\varepsilon_i(T) = 0$ , then  $e_i(T) := \mathbf{0}$ . Otherwise, we can find the  $i + 1$  in  $T$  that corresponds We change this  $i + 1$  into  $i$  and get  $e_i(T)$ .

It is a well-known result that  $B(n, \lambda)$ , together with  $e_i, f_i, \varphi_i, \varepsilon_i$  and  $\text{wt}$ , form a seminormal abstract Kashiwara  $GL_n$ -crystal. Moreover, they correspond to the crystal basis from the irreducible highest weight  $U_q(\mathfrak{gl}_n)$  module of highest weight  $\lambda$ .

We can use the operator  $f_i$  to compute  $\text{SSYT}(\alpha)$ . Let  $S$  be a subset of  $B(n, \lambda)$ . Define  $\mathcal{F}_i S$  as  $\{(f_i)^j(T) : T \in S, j \geq 0\} - \{\mathbf{0}\}$ .

**Theorem 2.6** ([Kas93]). *Let  $\alpha$  be a weak composition such that  $\alpha^+ = \lambda$  and  $\alpha_i > 0$  for  $i > n$ . We can write  $\alpha$  as  $s_{i_1} \dots s_{i_k} \lambda$ , where  $k$  is minimized. Then we have*

$$\text{SSYT}(\alpha) = \mathcal{F}_{i_1} \dots \mathcal{F}_{i_k} \{u_\lambda\}.$$

Here,  $u_\lambda$  is the SSYT with shape  $\lambda$  such that its  $r^{\text{th}}$  row only has  $r$ .

$\text{SSYT}(\alpha)$ , together with the maps, is known as a *Demazure crystal*.

### 2.4. Set-valued Tableaux

We start to view a *tableau* as a filling where entries are finite non-empty subsets of  $\mathbb{Z}_{>0}$ .

**Definition 2.7.** A *set-valued tableau* (SVT) is a tableau such that no matter how we pick one entry in each set, the resulting tableau is a SSYT. Let  $T$  be a SVT. Define  $\mathcal{S}(T)$  to be the set of SSYT obtained by picking one number in each cell of  $T$ .

**Example 2.8.** The following  $T$  is a SVT:

$$T = \begin{array}{|c|c|c|} \hline 1 & 13 & 36 \\ \hline 23 & 47 & \\ \hline 567 & & \\ \hline \end{array},$$

where 23 represents the set  $\{2, 3\}$ . The set  $\mathcal{S}(T)$  consists of 48 SSYT, including

$$\begin{array}{|c|c|c|} \hline 1 & 3 & 6 \\ \hline 3 & 7 & \\ \hline 7 & & \\ \hline \end{array} \quad \text{and} \quad \begin{array}{|c|c|c|} \hline 1 & 3 & 6 \\ \hline 2 & 4 & \\ \hline 5 & & \\ \hline \end{array}.$$

The following example is not a SVT

$$\begin{array}{|c|c|c|} \hline 1 & 14 & 46 \\ \hline 23 & 47 & \\ \hline 567 & & \\ \hline \end{array}$$

since if we pick 4 in both cells of column 2, the resulting filling cannot be a SSYT.

*Remark 2.9.* A SSYT can be viewed as a SVT where each set is a singleton.

**Definition 2.10.** Let  $T$  be a SVT of shape  $\lambda$ . Let  $\text{wt}(T)$  be the weak composition whose  $i^{\text{th}}$  entry is the number of  $i$ 's in  $T$ . Let  $\text{ex}(T)$  be the number  $|\text{wt}(T)| - |\lambda|$ .

It is clear that the definition of  $\text{wt}(\cdot)$  agrees with our previous definition when every set in  $T$  is a singleton. Intuitively,  $\text{ex}(T)$  counts the number of ‘‘extra’’ numbers in  $T$ .

To supplement our introduction, before continuing our development, we state an application of SVT. This is a SVT rule for Grassmannian stable Grothendieck polynomials  $G_\lambda^{(\beta)}$  due to Buch [SB02]. Instead of defining  $G_\lambda^{(\beta)}$ , we restate its relation with Lascoux polynomials. Assume  $\alpha$  is a weak composition whose first  $n$  entries are weakly increasing and all other entries are all 0. Sort  $\alpha$  and obtain the partition  $\lambda$ . Then

$$\mathfrak{L}_\alpha^{(\beta)} = G_\lambda^{(\beta)}|_{x_{n+1}=x_{n+2}=\dots=0}.$$

**Theorem 2.11** ([SB02]). *Let  $\lambda$  be a partition. Then*

$$G_\lambda^{(\beta)}|_{x_{n+1}=x_{n+2}=\dots=0} = \sum_T \beta^{\text{ex}(T)} x^{\text{wt}(T)} \quad (2.4)$$

where the sum is over all SVT  $T$  whose shape is  $\lambda$  and whose entries are subsets of  $[n]$ .

### 3. The right keys

In this section, we first describe a direct way to compute  $K_+(T)$  for SSYT  $T$  with normal shape. Then we generalize the right key to all SVT with normal shape. Finally, we introduce our main result.

### 3.1. Compute right keys using the star operator

Shimozono and Yu [SY21] used the following operator to compute right keys.<sup>1</sup> This method is a reformulation of Willis’ method [Wil13].

**Definition 3.1.** First, we define  $S \star m$  for  $S \subseteq \mathbb{Z}$  and  $m \in \mathbb{Z}$ . Let  $m'$  be the largest number in  $S$  such that  $m' \leq m$ . If  $m'$  does not exist, we let  $S \star m = S \sqcup \{m\}$ . Otherwise, we define  $S \star m = (S - \{m'\}) \sqcup \{m\}$ .

More generally, we may define  $\star$  to be a right action of the free monoid of words with characters in the set  $\mathbb{Z}$ , on the power set of  $\mathbb{Z}$ . If  $w = w_1 \cdots w_n$  is a word of integers, we define  $S \star w = (\cdots ((S \star w_1) \star w_2) \cdots \star w_n)$ , and  $S \star w = S$  if  $w$  is the empty word.

**Example 3.2.** We have

$$\begin{aligned} \{2, 4, 5, 7\} \star 3462 &= \{2, 3, 4, 6, 7\}, \\ \{2, 4, 5, 7\} \star 1284 &= \{1, 2, 4, 5, 8\}. \end{aligned}$$

*Remark 3.3.* Similar to [SY21, Remark 4.7], we have  $S \star w = S \star w'$ , if  $w$  and  $w'$  are Knuth equivalent.

We have the following way to compute a right key.

**Lemma 3.4.** Column  $j$  of  $K_+(T)$  consists of  $\emptyset \star \text{word}(T_{\geq j})$ .

*Proof.* By definition, column  $j$  of  $K_+(T_{\geq j})$  equals the last column of  $T_{\geq j}^{\searrow}$ . Since  $T_{\geq j}^{\searrow}$  has anti-normal shape,  $\emptyset \star \text{word}(T_{\geq j}^{\searrow})$  is the set of numbers in the last column of  $T_{\geq j}^{\searrow}$ . Then the proof is finished by  $\text{word}(T_{\geq j}) \sim \text{word}(T_{\geq j}^{\searrow})$  and Remark 3.3. □

**Example 3.5.** Let  $T$  be the following SSYT:

1	2	4	7
3	5	6	
4	8		
6			

Then column 1 of  $K_+(T)$  consists of  $\emptyset \star 6431852647 = \{2, 4, 7, 8\}$ . Column 2, 3 and 4 of  $K_+(T)$  consist of:  $\emptyset \star 852647 = \{4, 7, 8\}$ ,  $\emptyset \star 647 = \{4, 7\}$  and  $\emptyset \star 7 = \{7\}$ . Thus,  $K_+(T)$  is

2	4	4	7
4	7	7	
7	8		
8			

which agrees with Example 2.1.

---

<sup>1</sup>Notice that we replace “smallest” by “largest” and “at least” by “at most”. This is because [SY21] focused on reverse SSYT (tableaux whose rows are weakly decreasing and columns are strictly decreasing) while this paper focused on SSYT.

### 3.2. Generalizing $K_+(\cdot)$ to SVT

In this subsection, we assign a SSYT to each SVT with normal shape. Then we explain why this assignment naturally generalizes  $K_+(\cdot)$ .

**Definition 3.6.** Let  $T$  be a SVT with normal shape. Define

$$T_{\max} := \max_{P \in \mathcal{S}(T)} (K_+(P))$$

where max is entry-wise.

**Example 3.7.** We start with the SVT  $T$ . The set  $\mathcal{S}(T)$  has two SSYT.

$$T = \begin{array}{|c|c|} \hline 1 & 23 \\ \hline 3 & \\ \hline \end{array}, \quad \mathcal{S}(T) = \left\{ \begin{array}{|c|c|} \hline 1 & 2 \\ \hline 3 & \\ \hline \end{array}, \begin{array}{|c|c|} \hline 1 & 3 \\ \hline 3 & \\ \hline \end{array} \right\}.$$

We compute the right keys of the two tableaux in  $\mathcal{S}(T)$  and get:

$$\begin{array}{|c|c|} \hline 2 & 2 \\ \hline 3 & \\ \hline \end{array} \quad \text{and} \quad \begin{array}{|c|c|} \hline 1 & 3 \\ \hline 3 & \\ \hline \end{array}.$$

Take the maximum of each entry and obtain:

$$T_{\max} = \begin{array}{|c|c|} \hline 2 & 3 \\ \hline 3 & \\ \hline \end{array}.$$

*Remark 3.8.* Readers might wonder whether  $T_{\max}$  can be computed as follows: Pick the largest number in each entry and compute the right key of this SSYT. The previous example shows that this approach does not work. If we pick the largest number in each entry, we obtain

$$\begin{array}{|c|c|} \hline 1 & 3 \\ \hline 3 & \\ \hline \end{array}$$

whose right key is not  $T_{\max}$ .

From the definition of  $T_{\max}$ , it is an entry-wise maximum of several SSYT. Thus,  $T_{\max}$  is also a SSYT. Next, we find an easier way to compute  $T_{\max}$  and show it is a key. We start with a definition.

**Definition 3.9.** For finite  $S \subseteq \mathbb{Z}_{>0}$ , let  $\text{word}(S)$  be the word we get if we list numbers of  $S$  in increasing order. For a SVT  $T$ , let  $\text{word}(T) := \text{word}(S_1) \cdots \text{word}(S_n)$ , where  $S_1, \dots, S_n$  are entries of  $T$  in the column order.

Now we may introduce an easier way to compute  $T_{\max}$ :

**Lemma 3.10.** Let  $T$  be a normal SVT. Column  $j$  of  $T_{\max}$  consists of  $\emptyset \star \text{word}(T_{\geq j})$ , where  $T_{\geq j}$  is obtained by removing the first  $j - 1$  columns of  $T$ .

**Example 3.11.** Let  $T$  be the SVT in example 2.8. Then  $\text{word}(T) = 567231471336$ . Column 1 of  $T_{\max}$  consists of  $\emptyset \star 567231471336 = \{3, 6, 7\}$ . Column 2 and 3 of  $T_{\max}$  consist of  $\emptyset \star 471336 = \{6, 7\}$  and  $\emptyset \star 36 = \{6\}$ . Thus,

$$T_{\max} = \begin{array}{|c|c|c|} \hline 3 & 6 & 6 \\ \hline 6 & 7 & \\ \hline 7 & & \\ \hline \end{array} .$$

We may check this agrees with the definition of  $T_{\max}$ . First, we compute the right keys of the two SSYT from  $\mathcal{S}(T)$  in example 2.8. We get

$$\begin{array}{|c|c|c|} \hline 1 & 6 & 6 \\ \hline 6 & 7 & \\ \hline 7 & & \\ \hline \end{array} \quad \text{and} \quad \begin{array}{|c|c|c|} \hline 3 & 3 & 6 \\ \hline 4 & 6 & \\ \hline 6 & & \\ \hline \end{array} ,$$

whose entry-wise maximum is the key above. The right keys of the other 46 SSYT in  $\mathcal{S}(T)$  are entry-wise less than or equal to this key.

To prove the lemma, we need the entry-wise maximum of sets:

**Definition 3.12.** Let  $C$  be a finite collection of sets such that all sets in  $C$  have the same size  $k$ . We may view each element of  $C$  as a column of a SSYT and take the entry-wise maximum. Then  $\max_{S \in C} S$  is the set corresponding to the resulting column. More explicitly,  $\max_{S \in C} S$  is the set with size  $k$  such that its  $i^{\text{th}}$  smallest number is

$$\max_{S \in C} (i^{\text{th}} \text{ smallest number in } S).$$

*Proof of Lemma 3.10.* It is enough to assume  $j = 1$ . By the definition of  $T_{\max}$  and Lemma 3.4, column 1 of  $T_{\max}$  consists of  $\max_{P \in \mathcal{S}(T)} \emptyset \star \text{word}(P)$ . Thus, we need to prove

$$\max_{P \in \mathcal{S}(T)} \emptyset \star \text{word}(P) = \emptyset \star \text{word}(T) \tag{3.1}$$

First, we prove (3.1) for  $T$  that only has one column. Let  $S_1, \dots, S_k$  be the entries of  $T$ , enumerated from bottom to top. We have  $\min(S_i) > \max(S_{i+1})$  for  $1 \leq i \leq k - 1$ . As  $P$  ranges over  $\mathcal{S}(T)$ ,  $\text{word}(P)$  ranges over  $s_1 \cdots s_k$  with  $s_i \in S_i$ . Thus,  $\emptyset \star \text{word}(P)$  ranges over  $\{s_1 > \cdots > s_k\}$  with  $s_i \in S_i$ . The left hand side of (3.1) is  $\{\max(S_1) > \cdots > \max(S_k)\}$ . For the right hand side, notice that  $\emptyset \star \text{word}(S_1) = \{\max(S_1)\}$ . Since  $\max(S_1) > \max(S_2)$ ,  $\emptyset \star \text{word}(S_1)\text{word}(S_2) = \{\max(S_1), \max(S_2)\}$ . A simple induction on  $i$  would yield

$$\emptyset \star \text{word}(S_1) \cdots \text{word}(S_i) = \{\max(S_1) > \cdots > \max(S_i)\}.$$

Since  $\text{word}(T) = \text{word}(S_1) \cdots \text{word}(S_k)$ , the right hand side of (3.1) is  $\{\max(S_1) > \cdots > \max(S_k)\}$ . We have established (3.1) for  $T$  with one column.

Now we prove (3.1) for all SVT  $T$ . We perform an induction on the number of entries of  $T$  that are not in column 1. For the base case, we assume  $T$  has no such entries. In other words,  $T$  has only one column. This case is checked above.

Now assume  $T$  has more than one column. Let  $X$  be the highest entry in the rightmost column of  $T$ . We may remove  $X$  from  $T$  and raise all entries below  $X$ . The resulting filling,  $T'$ , is clearly a SVT. We have

$$\begin{aligned} \text{word}(T) &= \text{word}(T')\text{word}(X) \quad \text{and} \\ \{\text{word}(P) : P \in \mathcal{S}(T)\} &= \{\text{word}(P')x : P' \in \mathcal{S}(T'), x \in X\}. \end{aligned}$$

Our goal (3.1) becomes

$$\max_{P' \in \mathcal{S}(T'), x \in X} \emptyset \star \text{word}(P')x = \emptyset \star \text{word}(T')\text{word}(X). \quad (3.2)$$

To show this equality, we first find an alternative way to write its right hand side. By the inductive hypothesis,

$$\max_{P' \in \mathcal{S}(T')} \emptyset \star \text{word}(P') = \emptyset \star \text{word}(T').$$

Use  $\{a_1 < \dots < a_k\}$  to denote  $\emptyset \star \text{word}(T')$ . We know  $k = |\emptyset \star \text{word}(P')|$  for any  $P' \in \mathcal{S}(T')$ . Thus,  $k$  is the number of entries in column 1 of  $K_+(P')$ , which is also the number of rows in  $T'$  and  $T$ . Consequently,  $k = |\emptyset \star \text{word}(P)|$  for any  $P \in \mathcal{S}(T)$ .

Next, we show  $\min(X) \geq a_1$  by contradiction. Assume there exists  $x \in X$  with  $x < a_1$ . We may pick  $P' \in \mathcal{S}(T')$  such that  $\min(\emptyset \star \text{word}(P')) = a_1$ . Then consider the tableau  $P \in \mathcal{S}(T)$  with  $\text{word}(P) = \text{word}(P')x$ . We have  $\emptyset \star \text{word}(P) = (\emptyset \star \text{word}(P')) \star x$ , which has more than  $k$  numbers. Contradiction.

Since  $\min(X) \geq a_1$ , we may partition  $X$  as  $X_1 \sqcup \dots \sqcup X_k$  by  $X_i = X \cap [a_i, a_{i+1})$ , where  $a_{k+1} = \infty$  by convention. Consider the action of  $\text{word}(X) = \text{word}(X_1) \cdots \text{word}(X_k)$  on  $\{a_1, \dots, a_k\}$ . When  $X_i$  acts,  $a_i$  is still in the set. If  $X_i$  is non-empty,  $a_i$  will be bumped by  $\min(X_i)$ , which is then bumped by the second smallest number in  $X_i$ . Eventually, the action of  $X_i$  replaces  $a_i$  by  $\max(X_i)$ . Thus,  $\{a_1, \dots, a_k\} \star \text{word}(X) = \{\bar{a}_1 < \dots < \bar{a}_k\}$ , where  $\bar{a}_i = \max(X_i)$  if  $X_i \neq \emptyset$  and  $\bar{a}_i = a_i$  otherwise.

We have turned the right hand side of (3.2) into  $\{\bar{a}_1 < \dots < \bar{a}_k\}$ . It remains to establish the following two statements:

- For any  $P' \in \mathcal{S}(T')$ ,  $x \in X$  and  $1 \leq i \leq k$ , the  $i^{\text{th}}$  smallest number of  $\emptyset \star \text{word}(P')x$  is at most  $\bar{a}_i$ .
- For any  $1 \leq i \leq k$ , we may find  $P' \in \mathcal{S}(T')$  and  $x \in X$  such that the  $i^{\text{th}}$  smallest number of  $\emptyset \star \text{word}(P')x$  achieves  $\bar{a}_i$ .

Now we prove these two claims.

- Take any  $P' \in \mathcal{S}(T')$  and  $x \in X$ . Let  $\{b_1 < \dots < b_k\} = \emptyset \star \text{word}(P')$ . Our inductive hypothesis implies  $b_i \leq a_i$  for all  $1 \leq i \leq k$ . Now, assume  $x$  bumps  $b_j$  when acting on  $\{b_1 < \dots < b_k\}$ , becoming the  $j^{\text{th}}$  smallest number in the resulting set. We only

need to check  $x \leq \bar{a}_j$ . Notice that  $x < b_{j+1} \leq a_{j+1}$  with  $b_{k+1} = \infty$  by convention. Thus,  $x \in X_1 \sqcup \dots \sqcup X_j$ . If  $X_j \neq \emptyset$ ,  $\bar{a}_j = \max(X_j) \geq x$ . Otherwise,  $x \in X_1 \sqcup \dots \sqcup X_{j-1}$ , so  $x < a_j = \bar{a}_j$ .

- Take  $1 \leq i \leq k$ . First, assume  $X_i \neq \emptyset$ . By the inductive hypothesis, we may pick  $P' \in \mathcal{S}(T')$  such that if we let  $\{b_1 < \dots < b_k\} = \emptyset \star \text{word}(P')$ , then  $b_{i+1} = a_{i+1}$ . Pick  $x = \max(X_i)$ , so  $b_{i+1} = a_{i+1} > x \geq a_i \geq b_i$ . When  $x$  acts on  $\{b_1 < \dots < b_k\}$ , it will bump the  $b_i$ . The  $i^{\text{th}}$  smallest number in the resulting set is  $x = \bar{a}_i$ . Finally, assume  $X_i = \emptyset$ , so  $\bar{a}_i = a_i$ . Pick  $P' \in \mathcal{S}(T')$  such that if we let  $\{b_1 < \dots < b_k\} = \emptyset \star \text{word}(P')$ , then  $b_i = a_i$ . Pick any  $x \in X$ . If  $x < a_i$ ,  $x$  will not bump  $b_i$  when acting on  $\{b_1 < \dots < b_k\}$ . Otherwise, we know  $x \geq a_{i+1}$  because  $X_i = \emptyset$ . Since  $b_{i+1} \leq a_{i+1} \leq x$ ,  $x$  will not bump  $b_i$  when acting on  $\{b_1 < \dots < b_k\}$ . In either case, the  $i^{\text{th}}$  largest number of  $\{b_1 < \dots < b_k\} \star x$  remains to be  $b_i = \bar{a}_i$ .  $\square$

**Corollary 3.13.**  $T_{\max}$  is a key.

*Proof.* Let  $j$  be a positive integer. By Lemma 3.10, it remains to show

$$\emptyset \star \text{word}(T_{\geq j}) \supseteq \emptyset \star \text{word}(T_{\geq j+1}).$$

This is implied by [SY21, Lemma 4.9].  $\square$

**Definition 3.14.** The right key of a SVT  $T$  is  $K_+(T) := T_{\max}$ . Let  $\text{SVT}(\alpha)$  be the set of all  $T$  such that  $K_+(T) \leq \text{key}(\alpha)$ .

*Remark 3.15.* By the definition of  $K_+(\cdot)$ , we may also describe  $\text{SVT}(\alpha)$  as all SVT  $T$  such that  $\mathcal{S}(T) \subseteq \text{SSYT}(\alpha)$ .

We end this section by introducing our main result:

**Theorem 3.16.** Let  $\alpha$  be a weak composition. Then

$$\mathfrak{L}_\alpha^{(\beta)} = \sum_{T \in \text{SVT}(\alpha)} x^{\text{wt}(T)} \beta^{\text{ex}(T)}. \tag{3.3}$$

**Example 3.17.** Let  $\alpha = (1, 0, 2)$ . Then  $\text{SVT}(\alpha)$  consists of the following:

1   1	1   2	1   1	1   3	1   3	
2	2	3	2	3	
1   12	1   1	1   13	1   3	1   13	1   23
2	23	2	23	3	2
1   123	1   13				
2	23				

Thus, we may write  $\mathfrak{L}_{(1,0,2)}^{(\beta)}$  as

$$\begin{aligned} & x_1^2 x_2 + x_1 x_2^2 + x_1^2 x_3 + x_1 x_2 x_3 + x_1 x_3^2 \\ & + \beta(x_1^2 x_2^2 + 2x_1^2 x_2 x_3 + x_1 x_2 x_3^2 + x_1^2 x_3^2 + x_1 x_2^2 x_3) \\ & + \beta^2(x_1^2 x_2^2 x_3 + x_1^2 x_2 x_3^2) \end{aligned}$$

Equation (3.3) generalizes the two combinatorial rules in §2:

- If we set  $\beta = 0$ , then the left hand side of Equation (3.3) becomes  $\kappa_\alpha$ . In the right hand side, only  $T$  with  $\text{ex}(T) = 0$  can survive in the sum. Clearly,

$$\{T \in \text{SVT}(\alpha) : \text{ex}(T) = 0\} = \text{SSYT}(\alpha).$$

Thus, our rule extends Equation (2.3).

- Assume  $\alpha$  is a weak composition whose first  $n$  entries are weakly increasing and the other entries are all 0. In each column of  $\text{key}(\alpha)$ , there are  $n, n-1, n-2, \dots$ . Then  $T \in \text{SVT}(\alpha)$  if and only if  $T$  has the shape  $\alpha^+$  and entries of  $T$  are subsets of  $[n]$ . Thus, our rule extends Equation (2.4).

We will prove Theorem 3.16 in the next section.

## 4. Abstract Kashiwara crystals on SVT

As stated in §2,  $\text{SSYT}(\alpha)$  can be computed using the  $f_i$  operators. To prove our result, we can construct an abstract Kashiwara  $\text{GL}_n$ -crystal on the set of SVT with entries in  $[n]$ .

### 4.1. Constructing an abstract Kashiwara crystal on SVT

Let  $\mathcal{B}_n$  be the set of SVT with entries in  $[n]$ . The goal of this subsection is to turn  $\mathcal{B}_n$  into an abstract Kashiwara  $\text{GL}_n$ -crystal. First, we let  $\text{wt}(\cdot)$  be the weight function on SVT defined earlier. To define the maps  $\phi_i, \epsilon_i, f_i$  and  $e_i$ , we need to generalize the  $i$ -word defined on SSYT.

**Definition 4.1.** Take  $T \in \mathcal{B}_n$  and  $i \in [n-1]$ . The  $i$ -word of  $T$  is a word built by “(”, “)”, and “) – (” under concatenation. It is created as follows.

Read through entries of  $T$  in the column order. Whenever we see a set containing  $i$  but not  $i+1$ , we write “)”. Whenever we see a set containing  $i+1$  but not  $i$ , we write “(”. Whenever we see a set containing  $i$  and  $i+1$ , we write “) – (”.

**Example 4.2.** Consider the following element from  $\mathcal{B}_4$

$$T = \begin{array}{|c|c|c|c|} \hline 1 & 1 & 2 & 23 \\ \hline 2 & 23 & & \\ \hline 34 & & & \\ \hline \end{array}.$$

It has 1-word ())((. It has 2-word ()) – ()) – (. It has 3-word ) – (((.

Take  $T \in \mathcal{B}_n$ . Next, we describe a way to break the  $i$ -word of  $T$  into continuous sub-words. Ignore all “-” and pair the “(” with “)” in the usual way. Then we construct an equivalence relation on all characters. This relation is generated by the following two requirements.

- If an “(” is paired with “)”, then these two characters and everything between them should be in the same equivalence class.
- For each “) - (“, these three characters are in the same equivalence class.

It is easy to see that each equivalence class is a contiguous sub-word.

**Example 4.3.** Assume a SVT has  $i$ -word  $) - (() - () - (() - ($ . Then it is partitioned into four equivalent classes:

$$) \quad ) - (() - () \quad ) - ( \quad () - ($$

Notice that any unpaired “)” must be the first character in its class. Any unpaired “(” must be the last character in its class. Thus, we may classify each class by whether it starts with an unpaired “)” and whether it ends with an unpaired “(”.

- *null form*: This class does not have unpaired “)” or “(”. For example, “(() - ()) - ()”.
- *left form*: This class does not have unpaired “)” but ends with an unpaired “(”. This class is either “(” or “(u) - (“ for some word  $u$ . For example, “(() - (“ is in this class.
- *right form*: This class does not have unpaired “(” but starts with an unpaired “)”. This class is either “)” or “) - (u)” for some word  $u$ . For example, “) - () - ()” is in this class.
- *combined form*: This class start with an unpaired “)” and ends with an unpaired “(”. This class is either “) - (“ or “) - (u) - (“ for some word  $u$ . For example, “) - () - (() - (“ is in this class.

In Example 4.3, the first two classes are right forms. The third class is a combined form and the last class is a left form. In general, if we ignore the null-forms in a word, then we have several right forms, followed by zero or one combined form, followed by several left forms. This idea allows us to define  $\varphi_i$  and  $\varepsilon_i$  on  $\mathcal{B}_n$ .

**Definition 4.4.** Take  $T \in \mathcal{B}_n$  and take  $i \in [n - 1]$ . Let  $\varphi_i(T)$  (resp.  $\varepsilon_i(T)$ ) be the number of right forms (resp. left forms) in the  $i$ -word of  $T$ .

Then we can check they satisfy the condition (K2) in Definition 2.2.

**Lemma 4.5.** Take  $T \in \mathcal{B}_n$  and  $i \in [n - 1]$ . Then

$$\varphi_i(T) - \varepsilon_i(T) = \langle \text{wt}(T), v_i - v_{i+1} \rangle,$$

where  $v_1, \dots, v_n$  is the standard basis of  $\mathbb{Z}^n$ .

*Proof.* Consider the  $i$ -word of  $T$ . The left hand side is the number of right forms minus the number of left forms. Next, observe the following.

- In each right form, there is one more “)” than “(”.
- In each left form, there is one more “(” than “)”.
- In each combined form or null form, the numbers of “(” and “)” are equal.

Thus,  $\varphi_i(T) - \varepsilon_i(T)$  is also the number of “)” minus the number of “(” in the  $i$ -word of  $T$ . Correspondingly, it is the number of  $i$  in  $T$  minus the number of  $i + 1$  in  $T$ , which is  $\langle \text{wt}(T), v_i - v_{i+1} \rangle$ .  $\square$

To define  $f_i$  and  $e_i$ , we first define operators  $f'_i$  and  $e'_i$  on  $\mathcal{B}_n \sqcup \{\mathbf{0}\}$ . They can be viewed as “square roots” of  $f_i$  and  $e_i$ : Later, we will define  $f_i(T)$  as  $f'_i(f'_i(T))$  and  $e_i(T)$  as  $e'_i(e'_i(T))$ .

**Definition 4.6.** Define  $f'_i, e'_i$  on  $\mathcal{B}_n \cup \{\mathbf{0}\}$ . First,  $f'_i(\mathbf{0}) = e'_i(\mathbf{0}) = \mathbf{0}$ . Now take  $T \in \mathcal{B}_n$ . To define  $f'_i(T)$ , consider the following cases.

- Case 1: If its  $i$ -word has a combined form, we find the entry in  $T$  that corresponds to “)–(” in the beginning of this combined form. We remove  $i$  from this entry and obtain  $f'_i(T)$ .
- Case 2: Otherwise, if its  $i$ -word has no right forms, we set  $f'_i(T) = \mathbf{0}$ .
- Case 3: Otherwise, find the last right form in its  $i$ -word. Find the entry in  $T$  that corresponds to “)” at the end of this right form. Add  $i + 1$  to this entry and obtain  $f'_i(T)$ .

To define  $e'_i(T)$ , consider the following cases.

- Case 1: If its  $i$ -word has a combined form, we find the entry in  $T$  that corresponds to “)–(” in the end of this combined form. We remove  $i + 1$  from this entry and obtain  $e'_i(T)$ .
- Case 2: Otherwise, if its  $i$ -word has no left forms, we set  $e'_i(T) = \mathbf{0}$ .
- Case 3: Otherwise, find the first left form in its  $i$ -word. Find the entry in  $T$  that corresponds to “(” at the start of this left form. Add  $i$  to this entry and obtain  $e'_i(T)$ .

**Example 4.7.** Consider  $T$  in Example 4.2. Its 2-word “( ) – ( ) – ( )” has a null form “( )”, a right form “( ) – ( )” and a combined form “( ) – ( )”. The “( ) – ( )” in the beginning of this combined form corresponds to the entry in column 4 of  $T$ . We remove the 2 in it and obtain  $f'_2(T)$ .

$$T = \begin{array}{|c|c|c|c|} \hline 1 & 1 & 2 & 23 \\ \hline 2 & 23 & & \\ \hline 34 & & & \\ \hline \end{array} \xrightarrow{f'_2} \begin{array}{|c|c|c|c|} \hline 1 & 1 & 2 & 3 \\ \hline 2 & 23 & & \\ \hline 34 & & & \\ \hline \end{array} = f'_2(T).$$

Now  $f'_2(T)$  has 2-word “( ) – ( )”. It has no combined form and the last right form is “( ) – ( )”. The “)” at the end of this right form corresponds to the entry in column 3 of  $f'_2(T)$ . We add a 3 to it and obtain  $f'_2(f'_2(T))$ .

$$f'_2(T) = \begin{array}{|c|c|c|c|} \hline 1 & 1 & 2 & 3 \\ \hline 2 & 23 & & \\ \hline 34 & & & \\ \hline \end{array} \xrightarrow{f'_2} \begin{array}{|c|c|c|c|} \hline 1 & 1 & 23 & 3 \\ \hline 2 & 23 & & \\ \hline 34 & & & \\ \hline \end{array} = f'_2(f'_2(T)).$$

Before further investigating  $f'_i$  and  $e'_i$ , we need to make sure when they do not yield  $\mathbf{0}$ , the resulting tableau is indeed a SVT.

**Lemma 4.8.** For any  $T \in \mathcal{B}_n$  and  $i \in [n - 1]$ ,  $f'_i(T), e'_i(T) \in \mathcal{B}_n \sqcup \{\mathbf{0}\}$ .

*Proof.* We check  $f'_i(T) \in \mathcal{B}_n \sqcup \{\mathbf{0}\}$ . The proof for  $e'_i(T)$  is similar. Assume  $f'_i(T) \neq \mathbf{0}$  and consider what  $f'_i$  does on  $T$ . If it removes an  $i$  from an entry of  $T$ , we know that entry corresponds to an “( ) – ( )” in the  $i$ -word of  $T$ . Thus, this entry has both  $i$  and  $i + 1$ . Removing  $i$  from it will yield a valid SVT.

Now, assume  $f'$  adds an  $i + 1$  to an entry  $S$  in  $T$ . We know  $S$  corresponds to an “)” in the  $i$ -word of  $T$ . Moreover, it is the last character in the last right form. We know  $S$  contains  $i$  but not  $i + 1$ . Now let  $S_\downarrow$  (resp.  $S_\rightarrow$ ) be the entry below  $S$  (resp. right of  $S$ ) in  $T$ . We need to check the following two statements.

- The entry  $S_\downarrow$ , if exists, has no  $i + 1$ : Assume it is not true. Clearly,  $i$  is not in  $S_\downarrow$ , so  $S_\downarrow$  corresponds to an “( )” in the  $i$ -word of  $T$ . It is immediately before the “)” that corresponds to  $S$ . Then this “)” cannot be the last character in a right form. Contradiction.
- The entry  $S_\rightarrow$ , if exists, has no  $i$ : Assume it is not true. Since there is no  $i + 1$  in  $S_\downarrow$  if it exists, there is no  $i + 1$  below  $S_\rightarrow$ . We know  $S_\rightarrow$  corresponds to “( ) – ( )” or “( )”. In either case, the “)” is unpaired. It must be part of a right form or a combined form. However, there is no combined form or right form after the “)” that corresponds to  $S$ . Contradiction.  $\square$

We can also check  $f'_i$  and  $e_i$  satisfy the following property.

**Lemma 4.9.** Take  $T_1, T_2 \in \mathcal{B}_n$  and  $i \in [n - 1]$ . Then  $f'_i(T_1) = T_2$  if and only if  $e'_i(T_2) = T_1$ .

*Proof.* Let  $w_1$  (resp.  $w_2$ ) be the  $i$ -word of  $T_1$  (resp.  $T_2$ ). Assume  $f'_i(T_1) = T_2$ , so  $T_1$  and  $T_2$  differ at exactly one entry, say  $S$ . Consider what  $f'_i$  does. First, assume  $f'_i$  removes  $i$  from  $S$ . Then  $S$  in  $T_1$  corresponds to the “( ) – ( )” at the beginning of the combined form in  $w_1$ . The word  $w_2$  is obtained from  $w_1$  by turning this “( ) – ( )” into “( )”. The combined form in  $w_1$  becomes a left form in  $w_2$ . Moreover, it is the first left form in  $w_2$ . The “( )” at the beginning of this left form corresponds to  $S$  in  $T_2$ . If we apply  $e'_i$  on  $T_2$ , it will add  $i$  to  $S$  and yield  $T_1$ .

Now assume  $f'_i$  puts  $i + 1$  into  $S$ . This entry corresponds to the “)” at the end of the the last right form in  $w_1$ . The word  $w_2$  is obtained from  $w_1$  by turning this “)” into “( ) – ( )”. This right form in  $w_1$  becomes a combined form in  $w_2$ . The “( ) – ( )” at the end of this combined form corresponds to  $S$  in  $T_2$ . If we apply  $e'_i$  on  $T_2$ , it will remove  $i + 1$  in  $S$  and yield  $T_1$ .

Consequently, we know  $f'_i(T_1) = T_2$  implies  $e'_i(T_2) = T_1$ . The other direction can be proved similarly.  $\square$

Finally, we define  $f_i$  and  $e_i$  on  $\mathcal{B}_n$ .

**Definition 4.10.** For  $T \in \mathcal{B}_n$ ,  $f_i(T) := f'_i(f'_i(T))$  and  $e_i(T) := e'_i(e'_i(T))$ .

We can make sure  $f_i$  and  $e_i$  changes  $\varepsilon_i$ ,  $\varphi_i$  and  $\text{wt}$  correctly.

**Lemma 4.11.** Take  $T \in \mathcal{B}_n$  and  $i \in [n - 1]$ . Assume  $f'_i(T), f_i(f'_i(T)) \in \mathcal{B}_n$ . Let  $v_1, \dots, v_n$  be the standard basis of  $\mathbb{Z}^n$ . Then

$$\begin{aligned}\varepsilon_i(f_i(T)) &= \varepsilon_i(T) + 1, \\ \varphi_i(f_i(T)) &= \varphi_i(T) - 1, \\ \text{wt}(f_i(T)) &= \text{wt}(T) - v_i + v_{i+1}.\end{aligned}$$

*Proof.* Consider what  $f'_i$  does on  $T$ . If  $T$  has a combined form in its  $i$ -word, then  $f'_i$  removes an  $i$  from  $T$ . The combined form in the  $i$ -word of  $T$  becomes a left form. Thus,

$$\varepsilon(f'_i(T)) = \varepsilon(T) + 1, \quad \varphi(f'_i(T)) = \varphi(T), \quad \text{wt}(f'_i(T)) = \text{wt}(T) - v_i.$$

Otherwise, if  $T$  has no combined form in its  $i$ -word, then  $f'_i$  adds an  $i + 1$  to  $T$ . A right form in the  $i$ -word of  $T$  becomes a combined form. Thus,

$$\varepsilon(f'_i(T)) = \varepsilon(T), \quad \varphi(f'_i(T)) = \varphi(T) - 1, \quad \text{wt}(f'_i(T)) = \text{wt}(T) + v_{i+1}.$$

Now consider  $T$  and  $f'_i(T)$ . Exactly one of these two has a combined form in its  $i$ -word. We know  $\text{wt}(f_i(T))$  is either  $\text{wt}(f'_i(T)) - v_i = \text{wt}(T) + v_{i+1} - v_i$  or  $\text{wt}(f'_i(T)) + v_{i+1} = \text{wt}(T) - v_i + v_{i+1}$ . Our claim of  $\varphi_i(f_i(T))$  and  $\varepsilon_i(f_i(T))$  can be checked similarly.  $\square$

Now we can establish the main result of this subsection.

**Theorem 4.12.** The set  $\mathcal{B}_n$ , together with maps  $f_i, e_i, \varepsilon_i, \varphi_i$  and  $\text{wt}$ , is a seminormal abstract Kashiwara  $GL_n$ -crystal.

*Proof.* We have established the two axioms in Definition 2.2: Axiom (K1) follows from Lemma 4.9 and Lemma 4.11; Axiom (K2) is checked in Lemma 4.5.

Next, we check it is seminormal. Take  $T \in \mathcal{B}_n$ . Each time we apply  $e_i$ , the  $i$ -word of  $T$  would lose one left form. Thus,  $e_1^{\varepsilon_1(T)}(T)$  has no left form. We have  $\varepsilon_i(T) = \max\{k : e_i^k(T) \neq \mathbf{0}\}$ . The other equality can be proved similarly.  $\square$

**Example 4.13.** In Figure 4.1, we depict the 27 elements of  $\mathcal{B}_3$  with shape  $(2, 1)$ . A blue solid arrow represents the  $f'_1$  operator and a red dashed arrow represents the  $f'_2$  operator. Thus, by following two consecutive blue solid arrows, one can move from  $T$  to  $f_1(T)$ . By following two consecutive red dashed arrows, one can move from  $T$  to  $f_2(T)$ .

One can see how our crystal operators differ from the crystal operators defined in [MPS21] by comparing the picture next page with Figure 1 in [MPS21]. For example, consider the first SVT in the fourth row of picture next page. The  $f_2$  in our definition sends it to the first SVT in the sixth row. The  $f_2$  in [MPS21] would send it to the third SVT in the sixth row.

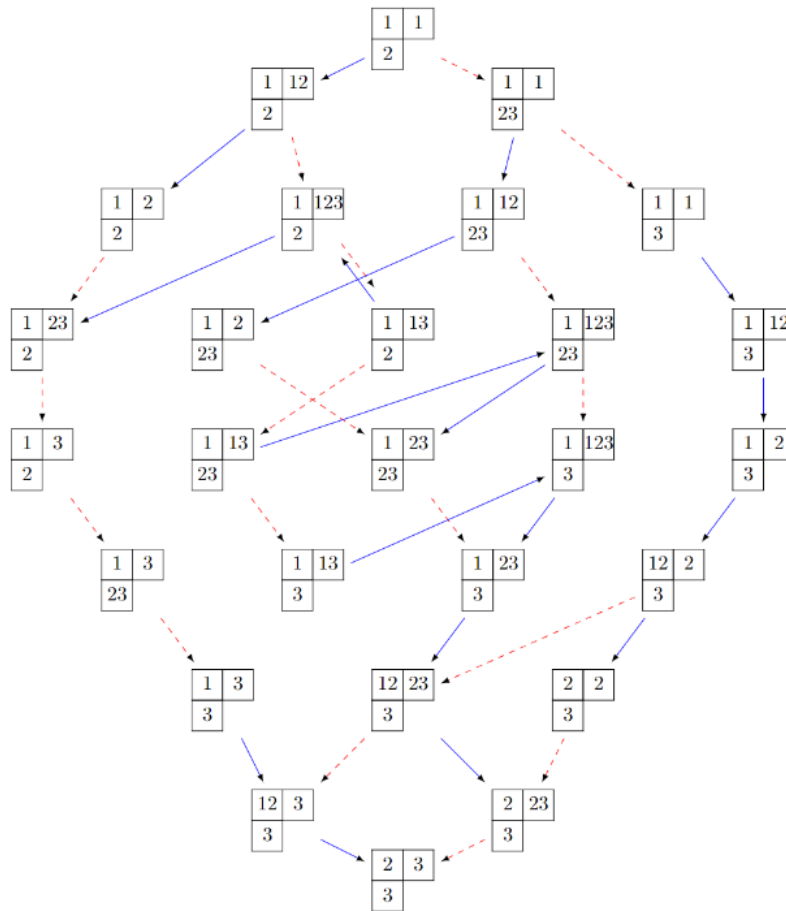


Figure 4.1: The 27 elements of  $\mathcal{B}_3$  with shape  $(2, 1)$ .

### 4.2. Double $i$ -strings

In this subsection, we introduce and investigate double  $i$ -strings, which can be viewed as analogues of  $i$ -strings. Based on the definition in §2, an  $i$ -string in  $\mathcal{B}_n$  is a sequence of SVT  $T_0, \dots, T_k$  such that  $e_i(T_0) = f_i(T_k) = \mathbf{0}$  and  $f_i(T_j) = T_{j+1}$  for  $j = 0, 1, \dots, k - 1$ .

**Example 4.14.** The following are 2-strings in  $\mathcal{B}_3$ .

$$\begin{array}{|c|c|} \hline 1 & 2 \\ \hline 2 & \\ \hline \end{array} \xrightarrow{2} \begin{array}{|c|c|} \hline 1 & 3 \\ \hline 2 & \\ \hline \end{array} \xrightarrow{2} \begin{array}{|c|c|} \hline 1 & 3 \\ \hline 3 & \\ \hline \end{array}$$

$$\begin{array}{|c|c|} \hline 1 & 23 \\ \hline 2 & \\ \hline \end{array} \xrightarrow{2} \begin{array}{|c|c|} \hline 1 & 3 \\ \hline 23 & \\ \hline \end{array}$$

Now we generalize this notion and define a double  $i$ -string. We simply replace  $e_i$  and  $f_i$  in the definition of an  $i$ -string by  $e'_i$  and  $f'_i$ .

**Definition 4.15.** Take  $i \in [n - 1]$ . A *double  $i$ -string* is a sequence  $T_0, \dots, T_k \in \mathcal{B}_n$  such that  $e'_i(T_0) = f'_i(T_k) = \mathbf{0}$  and  $f'_i(T_j) = T_{j+1}$  for each  $j \in \{0, 1, \dots, k - 1\}$ .

We say  $T_0$  is the *source* of its double  $i$ -string. Diagrammatically, we can represent the double  $i$ -string as:

$$\begin{array}{ccccccc} T_0 & \xrightarrow{i} & T_2 & \xrightarrow{i} & T_4 & \xrightarrow{i} & \dots & \xrightarrow{i} & T_{k-2} & \xrightarrow{i} & T_k, \\ \downarrow i & \nearrow i & \downarrow i & \nearrow i & \downarrow i & & & & \downarrow i & \nearrow i & \\ T_1 & \xrightarrow{i} & T_3 & \xrightarrow{i} & T_5 & \xrightarrow{i} & \dots & \xrightarrow{i} & T_{k-1} & & \end{array}$$

where solid arrow represents  $f_i$  and dash arrow represents  $f'_i$ .

*Remark 4.16.* A double  $i$ -string can be viewed as a refinement of the “ $i$ -K-string” in [MPS21]. If we remove all dash arrows except the one from  $T_0$  to  $T_1$ , we get an  $i$ -K-string.

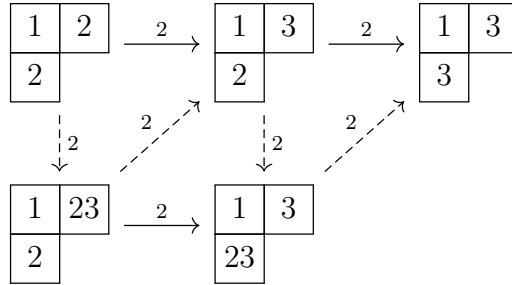
We make some basic observations about a double  $i$ -string.

**Lemma 4.17.** Let  $T_0, \dots, T_k$  be a double  $i$ -string. Then we have the following.

1. The number  $k$  is even.
2. If  $k \geq 2$ , then this double  $i$ -string consists of two  $i$ -strings:  $T_0, T_2, \dots, T_k$  and  $T_1, T_3, \dots, T_{k-1}$ . An element in the former  $i$ -string has no combined form in its  $i$ -word. An element in the latter  $i$ -string has a combined form in its  $i$ -word.
3.  $\text{wt}(T_{2j+1}) = \text{wt}(T_{2j}) + v_{i+1}$ .
4.  $\text{wt}(T_{2j}) = \text{wt}(T_{2j-1}) - v_i$ .

*Proof.* We know  $T_0$  and  $T_k$  have no combined forms in their  $i$ -word. By the definition  $f'_i$ , the  $i$ -word of  $T_{j+1}$  has a combined form if and only if the  $i$ -word of  $T_j$  has no combined form. This implies (1). (2) is immediate. (3) and (4) follow from the proof of Lemma 4.11.  $\square$

**Example 4.18.** The following is a double 2-string in  $\mathcal{B}_3$ .



This double 2-string consists of two 2-strings that appear in the previous example. Observe that the three SVT in the first row do not have combined forms in their 2-words, while the two SVT on the second row have.

### 4.3. Double $i$ -string and the right key

This subsection investigates how the right key is changed in a double  $i$ -string. More explicitly, we prove:

**Lemma 4.19.** *Let  $T_0, T_1, \dots, T_{2k}$  be a double  $i$ -string in  $\mathcal{B}_n$ . Assume  $K_+(T_0) = \text{key}(\alpha)$ . Then  $\alpha_i \geq \alpha_{i+1}$ , and there are two possibilities:*

- $K_+(T_1) = \dots = K_+(T_k) = \text{key}(\alpha)$ , or
- $K_+(T_1) = \dots = K_+(T_k) = \text{key}(s_i\alpha)$ .

**Example 4.20.** Let  $T_0, \dots, T_4$  be the double 2-string of  $\mathcal{B}_3$  in Example 4.18. We have  $K_+(T_0) = \text{key}(\alpha)$  and  $K_+(T_1) = \dots = K_+(T_4) = \text{key}(s_i\alpha)$ , where  $\alpha = (1, 2, 0)$

To prove Lemma 4.19, we study how  $f'_i$  and  $e'_i$  change  $K_+(T)$ , where  $T \in \mathcal{B}_n$  has a combined form in its  $i$ -word. We start with a few basic properties about the  $\star$  operator.

**Lemma 4.21.** *Let  $S$  be a finite subset of  $\mathbb{Z}$ . Pick  $i \in \mathbb{Z}$  and assume  $w$  is a word of  $\mathbb{Z}$  with no  $i + 1$ . Then if  $S \star iw$  contains  $i + 1$ , it must also contain  $i$ .*

*Proof.* If  $i + 1 \notin S \star i$ , then  $i + 1 \notin S \star iw$  since  $w$  has no  $i + 1$ . We are done in this case. Otherwise,  $i, i + 1 \in S \star i$ . When  $w$  acts on  $S \star i$ , to change the  $i$ , it first needs to bump the  $i + 1$ . Thus,  $i$  remains in  $S \star iw$  if it contains  $i + 1$ . □

**Definition 4.22.** Let  $S$  a finite subset of  $\mathbb{Z}$ . We define the set  $\partial_i S$  according to the following cases

- If  $i, i + 1 \in S$ , then  $\partial_i S = S$ .
- If  $i \notin S$  and  $i + 1 \notin S_1$ , then  $\partial_i S = S$ .
- If  $i \in S$  and  $i + 1 \notin S_1$ , then  $\partial_i S = S - \{i\} \sqcup \{i + 1\}$ .
- If  $i \notin S$  and  $i + 1 \in S_1$ , then  $\partial_i S$  is undefined.

**Lemma 4.23.** *Let  $S_1$  be a set such that  $\partial_i(S_1)$  is defined. Let  $S_2 = \partial_i S_1$ . Then we have the following.*

- For any  $x \neq i$  or  $i + 1$ , the set  $S_2 \star x$  is  $S_1 \star x$  or  $\partial_i(S_1 \star x)$ ;
- $S_2 \star (i + 1) = S_1 \star (i + 1)$ .

*Proof.* If  $S_1 = S_2$ , then clearly  $S_2 \star x = S_1 \star x$  and  $S_2 \star (i + 1) = S_1 \star (i + 1)$ . Now assume  $S_1 \neq S_2$  (i.e.  $i \in S$  and  $i + 1 \notin S$ ). We know  $S_2$  is obtained by changing the  $i$  in  $S_1$  into  $i + 1$ . We check the two statements.

- If  $x$  bumps some  $y \neq i$  in  $S_1$  or adds itself to  $S_1$ , then  $x$  would do the same in  $S_2$ , so  $S_2 \star x = \partial_i(S_1 \star x)$ . Now if  $x$  bumps  $i$  in  $S_1$ , then it would bump  $i + 1$  in  $S_2$ , so  $S_2 \star x = S_1 \star x$ .

- The  $i + 1$  must bump  $i$  in  $S_1$  and  $i + 1$  in  $S_2$ , so  $S_2 \star (i + 1) = S_1 \star (i + 1)$ .  $\square$

With these basic tools, we can investigate how  $f'_i$  and  $e'_i$  affects the right key.

**Lemma 4.24.** *For  $T \in B_n$  and  $i \in [i - 1]$ ,  $K_+(f'_i(T)) = K_+(T)$  if  $T$  has a combined form in its  $i$ -word.*

*Proof.* Assume  $f'_i$  removes  $i$  from the entry  $S$ , which is in column  $c$  of  $T$ . Then clearly  $K_+(T)$  and  $K_+(f'_i(T))$  must agree on column  $j$  if  $j > c$ . We only need to worry about column  $j$  of  $K_+(T)$  and  $K_+(f'_i(T))$  for  $j \leq c$ . Let  $T_{\geq j}$  be the SVT obtained by removing the first  $j - 1$  columns of  $T$ . Let  $u = \text{word}(T_{\geq j})$ . Recall that column  $j$  of  $K_+(T)$  is  $\emptyset \star u$ .

We may write  $u$  as  $u_1 \ i \ i + 1 \ u_2$ , where the  $i$  and  $i + 1$  correspond to the  $i$  and  $i + 1$  in  $S$ . Then column  $j$  of  $K_+(f'_i(T))$  is  $\emptyset \star u_1 \ (i + 1) \ u_2$ . Thus, it remains to prove:

$$(\emptyset \star u_1) \star \ i \ (i + 1) = (\emptyset \star u_1) \star \ (i + 1) \quad (4.1)$$

Consider the  $i$ -word of  $T$ . The combined form must follow a right form or a null-form or nothing. Thus, the character before the combined form must be “)” or nothing. In other words,  $u_1$  has two possibilities: has neither  $i$  nor  $i + 1$ , or has the form  $u_1^1 \ i \ u_1^2$ , where  $u_1^2$  has no  $i + 1$ . By Lemma 4.21, we have either  $i + 1 \notin \emptyset \star u_1$  or  $i, i + 1 \in \emptyset \star u_1$ . Now we study these two cases.

1. Assume we have the former case. If we let  $i$  act on  $\emptyset \star u_1$ , it will change a number into  $i$ , or add itself to it. Then if we let  $i + 1$  act on the result, it will replace the  $i$  by  $i + 1$ , which is the same as  $(\emptyset \star u_1) \star (i + 1)$ .
2. Assume we have the latter case. Action of  $i$  or  $i + 1$  on  $\emptyset \star u_1$  will not do anything. Both sides of (4.1) must agree with  $\emptyset \star u_1$ .  $\square$

Similarly, for  $e'_i$ , we have:

**Lemma 4.25.** *Take  $T \in B_n$  and  $i \in [i - 1]$ . Assume  $T$  has a combined form in its  $i$ -string. Assume  $K_+(T) = \text{key}(\alpha)$ . If  $T$  also has a left form, then  $K_+(e'_i(T)) = \text{key}(\alpha)$ . If  $T$  has no left form, then  $K_+(e'_i(T)) = \text{key}(\alpha)$  or  $\text{key}(s_i\alpha)$ .*

*Proof.* Assume  $e'_i$  removes  $i + 1$  from the entry  $S$ , which is in column  $c$  of  $T$ . Then clearly column  $j$  of  $K_+(T)$  and  $K_+(e'_i(T))$  must agree if  $j > c$ . We only need to worry about column  $j$  of  $K_+(T)$  and  $K_+(e'_i(T))$  for  $j \leq c$ . Let  $T_{\geq j}$  be the SVT obtained by removing the first  $j - 1$  columns of  $T$ . Let  $u = \text{word}(T_{\geq j})$ . Recall that column  $j$  of  $K_+(T)$  is  $\emptyset \star u$ .

We may break  $u$  into  $u_1 \ i \ (i + 1) \ u_2$ , where the  $i$  and  $i + 1$  correspond to the  $i$  and  $i + 1$  in  $S$ . Then column  $j$  of  $K_+(e'_i(T))$  is  $\emptyset \star u_1 \ i \ u_2$ . Thus, it remains to compare:

$$(\emptyset \star u_1) \star \ i \ (i + 1) \ u_2 \text{ and } (\emptyset \star u_1) \star \ i \ u_2.$$

Let  $S_1 = (\emptyset \star u_1) \star i$  and  $S_2 = (\emptyset \star u_1) \star i \ (i + 1)$ . Clearly,  $i \in S_1$ . If  $i + 1 \in S_1$ , then  $i, i + 1 \in S_1$  and  $S_1 = S_2$ . If  $i + 1 \notin S_1$ , then  $S_2 = S_1 - \{i\} \sqcup \{i + 1\}$ . In either case, we have  $S_2 = \partial_i S_1$ .

Now we think about the  $i$ -word of  $T$ . The combined form must be followed by a left form or a null-form or nothing. Thus, the character after the combined form must be “(” or nothing. In other words, we have two cases.

- Case 1: The word  $u_2$  can be written as  $u_2^1(i+1)u_2^2$ . By Lemma 4.23,  $S_2 \star u_2^1 = \partial_i(S_1 \star u_2^1)$ . Then  $S_2 \star u_2^1(i+1) = S_1 \star u_2^1(i+1)$ , so  $S_2 \star u_2 = S_1 \star u_2$ .
- Case 2: The word  $u_2$  has no  $i$  or  $i+1$ . By Lemma 4.23,  $S_2 \star u_2 = \partial_i(S_1 \star u_2)$ .

The second case is possible only when the  $i$ -word of  $T$  has no left form. This is exactly what we need to prove. □

Now we are ready to prove Lemma 4.19.

*Proof of Lemma 4.19.* First, we consider  $T_0$ . Since it has neither combined form nor left form, its last character in the  $i$ -string, if exists, must be “).”. Thus, columns of  $K_+(T_0)$  will be  $\emptyset \star u_1 i u_2$  or  $\emptyset \star u$ , where  $u_2$  and  $u$  have no  $i$  or  $i+1$ . By Lemma 4.21, if a column of  $K_+(T_0)$  has  $i+1$ , it must also have  $i$ . Thus,  $\alpha_i \geq \alpha_{i+1}$ .

Now by Lemma 4.24, we know  $K_+(T_{2j-1}) = K_+(T_{2j})$  where  $j \in [k]$ . By Lemma 4.25 we know  $K_+(T_{2j}) = K_+(T_{2j+1})$  where  $j \in [k]$ . Thus,  $T_1, \dots, T_{2k}$  all have the same right key.

Finally, notice that  $T_1$  is the source of its  $i$ -string, so it has no left form. By Lemma 4.25 again,  $K_+(T_1) = \text{key}(\alpha)$  or  $\text{key}(s_i \alpha)$ , where  $\alpha = K_+(T_0)$ . □

**Corollary 4.26.** *Let  $T$  be a SVT. If  $f_i(T) \neq \mathbf{0}$  and  $K_+(T) \neq K_+(f_i(T))$ , then  $T$  must be the source of its double  $i$ -string.*

#### 4.4. Proof of Theorem 3.16

In this subsection, we derive a few lemmas and then use them to prove Theorem 3.16. First, we describe a well-known result that is implicit in [Kas93]. It states that the generating function of each  $i$ -string behaves nicely under  $\pi_i$ . For the sake of completeness, we provide a brief proof.

**Lemma 4.27.** *For each  $i$ -string  $T_0, \dots, T_k$ , we have*

$$\pi_i(x^{\text{wt}(T_0)}) = \sum_{j=0}^k x^{\text{wt}(T_j)}.$$

*Proof.* Write  $x^{\text{wt}(T_0)}$  as  $m x_i^a x_{i+1}^b$ , where  $m$  is a monomial with no  $x_i$  or  $x_{i+1}$ . By Lemma 2.4,  $x^{\text{wt}(T_k)} = m x_i^b x_{i+1}^a$ . Thus,  $k = b - a$ . Finally, we have

$$\begin{aligned} \pi_i(x^{\text{wt}(T_0)}) &= m \pi_i(x_i^a x_{i+1}^b) \\ &= m \sum_{j=0}^{b-a} x_i^{a-j} x_{i+1}^{b+j} \\ &= \sum_{j=0}^k x^{\text{wt}(T_j)}. \end{aligned} \quad \square$$

As mentioned earlier, double  $i$ -string can be viewed as a refinement of  $i$ -K-string in [MPS21]. Authors of [MPS21] knew that the generating function of an  $i$ -K-string behaves nicely under  $\pi_i^{(\beta)}$ : Applying  $\pi_i^{(\beta)}$  on the weight of the source yields the generating function of a whole  $i$ -K-string. This property is also satisfied by double  $i$ -strings. The following is implicit in [MPS21, Theorem 7.5].

**Lemma 4.28.** *For each double  $i$ -string  $T_0, \dots, T_{2k}$ , we have*

$$\pi_i^{(\beta)}(x^{\text{wt}(T_0)}\beta^{\text{ex}(T_0)}) = \sum_{j=0}^{2k} x^{\text{wt}(T_j)}\beta^{\text{ex}(T_j)},$$

$$\pi_i^{(\beta)}\left(\sum_{j=0}^{2k} x^{\text{wt}(T_j)}\beta^{\text{ex}(T_j)}\right) = \sum_{j=0}^{2k} x^{\text{wt}(T_j)}\beta^{\text{ex}(T_j)}.$$

*Proof.* First, we establish the first equation using the argument in [MPS21]. Notice that

$$\pi_i^{(\beta)}(f) = \pi_i(f + \beta x_{i+1}f).$$

Thus, its left hand side becomes

$$\pi_i(x^{\text{wt}(T_0)}\beta^{\text{ex}(T_0)} + \beta x_{i+1}x^{\text{wt}(T_0)}\beta^{\text{ex}(T_0)}).$$

Notice that  $x^{\text{wt}(T_1)} = x^{\text{wt}(T_0)}x_{i+1}$  and  $\text{ex}(T_1) = \text{ex}(T_0) + 1$ . We can further simplify the left hand side into

$$\begin{aligned} & \pi_i(x^{\text{wt}(T_0)}\beta^{\text{ex}(T_0)} + x^{\text{wt}(T_1)}\beta^{\text{ex}(T_1)}) \\ &= \pi_i(x^{\text{wt}(T_0)}\beta^{\text{ex}(T_0)}) + \pi_i(x^{\text{wt}(T_1)}\beta^{\text{ex}(T_1)}). \end{aligned}$$

Then the first equation is established by Lemma 4.27.

For the second equation, notice that  $\sum_{j=0}^{2k} x^{\text{wt}(T_j)}\beta^{\text{ex}(T_j)}$  is symmetric in  $x_i$  and  $x_{i+1}$ . Then the equation is established by the fact:  $\pi_i^{(\beta)}(f) = f$  if  $s_i(f) = f$ .  $\square$

Next, we describe  $\text{SVT}(\alpha)$  in terms of double  $i$ -strings.

**Lemma 4.29.** *Take any weak composition  $\alpha$ . For each double  $i$ -string  $T_0, \dots, T_{2k}$ , if  $T_i \in \text{SVT}(\alpha)$  with  $i > 0$ , then  $T_0, \dots, T_{2k} \in \text{SVT}(\alpha)$ .*

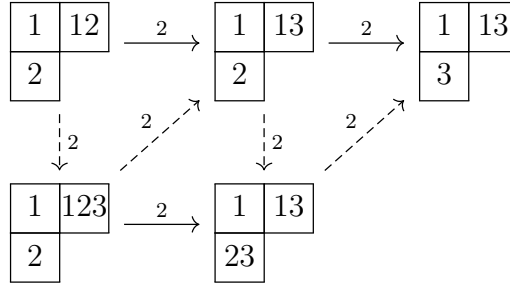
*Proof.* We know  $K_+(T_i) \leq \text{key}(\alpha)$ . Since  $T_1, \dots, T_{2k}$  all have the same right key, they are all in  $\text{SVT}(\alpha)$ . By Lemma 4.19,  $K_+(T_0) \leq K_+(T_i)$ , so  $T_0 \in \text{SVT}(\alpha)$ .  $\square$

The following is analogous to [Kas93, Proposition 3.3.5].

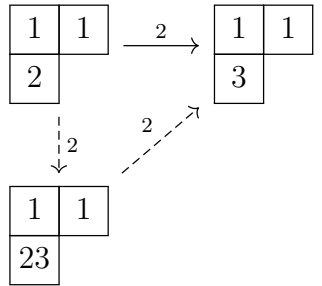
**Corollary 4.30.** *Take any weak composition  $\alpha$ . For each double  $i$ -string  $S = \{T_0, \dots, T_{2k}\}$ , then  $\text{SVT}(\alpha) \cap S$  is  $S$ ,  $\emptyset$ , or  $\{T_0\}$ .*

**Lemma 4.31.** *Let  $\alpha$  be a weak composition such that  $\alpha_i > \alpha_{i+1}$ . We can decompose  $\text{SVT}(s_i\alpha)$  into a disjoint union of double  $i$ -strings. For each of the double  $i$ -string in  $\text{SVT}(s_i\alpha)$ ,  $\text{SVT}(\alpha)$  either contains its source or all of it.*

**Example 4.32.** When  $\alpha = (1, 2, 0)$ , the set  $\text{SVT}(s_2\alpha)$  is a disjoint union of three double 2-strings. Besides the double 2-string in Example 4.18, it also contains



and



For each of these three double 2-strings, the set  $\text{SVT}(\alpha)$  only contains the source.

*Proof.* Let  $T_0, \dots, T_{2k}$  be a double  $i$ -string that intersects with  $\text{SVT}(s_i\alpha)$ . Corollary 4.30 implies  $T_0 \in \text{SVT}(s_i\alpha)$ . Let  $\gamma = \text{wt}(K_+(T_0))$ , then  $\text{key}(\gamma) \leq \text{key}(s_i\alpha)$ . We know each SVT in this double  $i$ -string has right key  $\text{key}(\gamma)$  or  $\text{key}(s_i(\gamma))$ . Since  $\alpha_i > \alpha_{i+1}$ ,  $\text{key}(s_i\gamma) \leq \text{key}(s_i\alpha)$ . Thus, the whole double  $i$ -string is in  $\text{SVT}(s_i\alpha)$ .

Lemma 4.19 implies that  $\gamma_i \geq \gamma_{i+1}$ , so  $\text{key}(\gamma) \leq \text{key}(\alpha)$ . We have  $T_0 \in \text{SVT}(\alpha)$ . By Corollary 4.30,  $\text{SVT}(\alpha)$  either contains  $T_0$  or the whole double  $i$ -string.  $\square$

Now we are ready to prove our main result:

*Proof of Theorem 3.16.* We only need to check  $\sum_{T \in \text{SVT}(\alpha)} x^{\text{wt}(T)} \beta^{\text{ex}(T)}$  satisfies the recursive definition of  $\mathfrak{L}_\alpha^{(\beta)}$ . In other words, we need to prove:

- If  $\alpha$  is a partition, then

$$\sum_{T \in \text{SVT}(\alpha)} x^{\text{wt}(T)} \beta^{\text{ex}(T)} = x^\alpha.$$

- If  $\alpha_i > \alpha_{i+1}$ , then

$$\pi_i^{(\beta)} \left( \sum_{T \in \text{SVT}(\alpha)} x^{\text{wt}(T)} \beta^{\text{ex}(T)} \right) = \sum_{T \in \text{SVT}(s_i\alpha)} x^{\text{wt}(T)} \beta^{\text{ex}(T)} \tag{4.2}$$

The first statement is immediate. For the second one, we break  $\text{SVT}(\alpha)$  into  $A \sqcup B$ . The set  $A$  consists of all  $T$  whose whole double  $i$ -string is in  $\text{SVT}(\alpha)$ . The set  $B$  contains all  $T \in \text{SVT}(\alpha)$  such that part of its double  $i$ -string is not in  $\text{SVT}(\alpha)$ . Let  $\overline{B}$  be the union of double  $i$ -strings who intersect with  $B$ . By Lemma 4.31, elements in  $B$  are sources of double  $i$ -string and  $\text{SVT}(s_i\alpha) = A \sqcup \overline{B}$ . Now by Lemma 4.28,

$$\begin{aligned}\pi_i^{(\beta)}\left(\sum_{T \in A} x^{\text{wt}(T)} \beta^{\text{ex}(T)}\right) &= \sum_{T \in A} x^{\text{wt}(T)} \beta^{\text{ex}(T)}, \\ \pi_i^{(\beta)}\left(\sum_{T \in B} x^{\text{wt}(T)} \beta^{\text{ex}(T)}\right) &= \sum_{T \in \overline{B}} x^{\text{wt}(T)} \beta^{\text{ex}(T)}.\end{aligned}$$

Equation 4.2 is obtained by summing up the two equations above.  $\square$

## 5. K-theory crystal

In this section, we describe some similarities between our abstract Kashiwara crystal and the Demazure crystal. Then we explain why our crystal can be viewed as an answer to [MPS21, Open Problem 7.1].

Similar to the  $\mathcal{F}_i$  defined in §2, we define  $\mathcal{F}'_i S$  as  $\{(f'_i)^j(T) : T \in S, j \geq 0\} - \{0\}$ , where  $S \subseteq \mathcal{B}_n$ . Then we have an analogue of Theorem 2.6:

**Theorem 5.1.** *Let  $\alpha$  be a weak composition such that  $\alpha^+ = \lambda$  and  $\alpha_i = 0$  for  $i > n$ . We can write  $\alpha$  as  $s_{i_1} \dots s_{i_k} \lambda$ , where  $k$  is minimal. Then we have*

$$\text{SVT}(\alpha) = \mathcal{F}'_{i_1} \dots \mathcal{F}'_{i_k} \{u_\lambda\}.$$

Here,  $u_\lambda$  is the SSYT with shape  $\lambda$  such that its  $r^{\text{th}}$  row only has  $r$ .

*Proof.* Prove by induction on  $k$ . The base case is when  $k = 0$  and  $\alpha = \lambda$ . The equation becomes  $\text{SVT}(\alpha) = \{u_\lambda\}$ , which is immediate.

The inductive step is to prove the following.

$$\text{SVT}(s_i\alpha) = \mathcal{F}'_i \text{SVT}(\alpha), \tag{5.1}$$

where  $\alpha_i > \alpha_{i+1}$ . We prove each side of the equation contains the other side.

- Take  $T \in \text{SVT}(\alpha)$ . By Lemma 4.31, the double  $i$ -string of  $T$  is completely in  $\text{SVT}(s_i\alpha)$ . Thus,  $(f'_i)^j(T)$  is in  $\text{SVT}(s_i\alpha)$  if it is not  $0$ .
- Suppose  $T \in \text{SVT}(s_i\alpha)$ . By Lemma 4.31, the source of its double  $i$ -string is in  $\text{SVT}(\alpha)$ . Thus,  $T$  is in the right hand side.  $\square$

If we slightly rephrase this theorem, we get the following statements, which correspond to axioms K1 and K2 in section 7 of [MPS21].

**Corollary 5.2.** *Let  $\alpha$  be a weak composition such that  $\alpha^+ = \lambda$  and  $\alpha_i = 0$  for  $i > n$ .*

1. For each  $T \in SVT(\alpha)$ , we can obtain  $T$  by applying  $f'_i$  on  $u_\lambda$ .
2. If  $\alpha = s_{i_1} \dots s_{i_k} \lambda$  where  $k$  is minimized, then

$$SVT(\alpha) \sqcup \{\mathbf{0}\} = \{f'^{j_1}_{i_1} \dots f'^{j_k}_{i_k} u_\lambda : j_1, \dots, j_k \geq 0\}$$

The third axiom in [MPS21] corresponds to our main result. Thus, we claim our construction is an answer to [MPS21, Open Problem 7.1] in the context of abstract Kashiwara crystals.

## 6. Lascoux atoms

In this section, we extend our rule to another set of polynomials called Lascoux atoms.

**Definition 6.1.** Following [Las01], define the operator  $\overline{\pi}_i^{(\beta)}$  on  $\mathbb{Z}[\beta][x_1, x_2, \dots]$  by

$$\overline{\pi}_i^{(\beta)}(f) := \pi_i^{(\beta)}(f) - f.$$

**Definition 6.2.** Let  $\alpha$  be a weak composition. Similar to Lascoux polynomials, a *Lascoux atom*  $\overline{\mathfrak{L}}_\alpha^{(\beta)}$  is defined as

$$\overline{\mathfrak{L}}_\alpha^{(\beta)} = \begin{cases} x^\alpha & \text{if } \alpha \text{ is a partition} \\ \overline{\pi}_i^{(\beta)} \overline{\mathfrak{L}}_{s_i \alpha}^{(\beta)} & \text{if } \alpha_i < \alpha_{i+1}. \end{cases}$$

The relationship between Lascoux polynomials and Lascoux atoms can be described as follows.

**Definition 6.3.** Let  $\alpha$  be a weak composition. Following [Mon16], define  $w(\alpha)$  as the shortest permutation such that  $\alpha = w(\alpha)\alpha^+$ .

**Lemma 6.4** ([Mon16, Theorem 5.1]). *Let  $\alpha$  be a weak composition. Then*

$$\mathfrak{L}_\alpha^{(\beta)} = \sum_{\gamma} \overline{\mathfrak{L}}_\gamma^{(\beta)},$$

where the sum is over all weak composition  $\gamma$  such that  $\gamma^+ = \alpha^+$  and  $w(\gamma) \leq w(\alpha)$  in Bruhat order.

It is well-known that the condition on  $\gamma$  in the previous lemma can be phrased as a condition on  $\text{key}(\gamma)$ .

**Lemma 6.5** ([LS90, Equation (2.13)]). *Let  $\alpha$  and  $\gamma$  be weak compositions such that  $\gamma^+ = \alpha^+$ . The following are equivalent.*

- $w(\gamma) \leq w(\alpha)$  in Bruhat order.
- $\text{key}(\gamma) \leq \text{key}(\alpha)$  entry-wise.

Finally, we are ready to extend our rule to Lascoux atoms.

**Definition 6.6.** Let  $\overline{\text{SVT}}(\alpha)$  be the set of all SVT  $T$  such that  $K_+(T) = \text{key}(\alpha)$ .

*Remark 6.7.* By Lemma 6.5, we have

$$\text{SVT}(\alpha) = \bigsqcup_{\gamma} \overline{\text{SVT}}(\gamma),$$

where  $\gamma$  is any weak composition such that  $\gamma^+ = \alpha^+$  and  $w(\gamma) \leq w(\alpha)$ .

**Corollary 6.8.** *We have*

$$\overline{\mathfrak{L}}_{\alpha}^{(\beta)} = \sum_{T \in \overline{\text{SVT}}(\alpha)} x^{\text{wt}(T)} \beta^{\text{ex}(T)}.$$

*Proof.* Prove by induction on the Bruhat order. If  $\alpha$  is a partition, then our result is immediate.

Now assume this rule holds for all  $\gamma$  such that  $\gamma^+ = \alpha^+$  and  $w(\gamma) < w(\alpha)$ . By Lemma 6.4, we have

$$\overline{\mathfrak{L}}_{\alpha}^{(\beta)} = \mathfrak{L}_{\alpha}^{(\beta)} - \sum_{\gamma} \overline{\mathfrak{L}}_{\gamma}^{(\beta)},$$

where the sum is over all  $\gamma \neq \alpha$  such that  $\gamma^+ = \alpha^+$  and  $w(\gamma) \leq w(\alpha)$ . By our main result and the inductive hypothesis, we have

$$\overline{\mathfrak{L}}_{\alpha}^{(\beta)} = \sum_{T \in \text{SVT}(\alpha)} x^{\text{wt}(T)} \beta^{\text{ex}(T)} - \sum_{\gamma} \sum_{T \in \overline{\text{SVT}}(\gamma)} x^{\text{wt}(T)} \beta^{\text{ex}(T)}.$$

Then the inductive step is finished by the remark above.  $\square$

## 7. Future directions

In this section, we introduce a few problems related to our main result.

### 7.1. Finding a bijective proof of Theorem 3.16

As mentioned in §1, there exist various combinatorial formulas for Lascoux polynomials. We would like to describe one of them.

A *reverse semistandard Young tableau* (RSSYT) is a filling of a Young diagram with  $\mathbb{Z}_{>0}$ , such that each column is strictly decreasing and each row is weakly decreasing. A *reverse set-valued tableau* (RSVT) is a filling of a Young diagram with non-empty subsets of  $\mathbb{Z}_{>0}$ , such that no matter how we pick a number in each entry, the resulting tableau is a RSSYT. We may define  $\text{wt}(\cdot)$  and  $\text{ex}(\cdot)$  for RSVT analogously. We also define a map  $L(\cdot)$  from RSVT to RSSYT.  $L(\cdot)$  picks the largest number in each entry.

A *reverse key* is a RSSYT, where each number in column  $j$  is also in column  $j - 1$ . Clearly, reverse keys are in bijection with weak compositions. We let  $\text{key}^R$  be the map that sends a weak composition to its corresponding reverse key. Each RSSYT  $T$  is associated with a reverse key called its left key, denoted by  $K_-(T)$ .

There is a weight-preserving map from SSYT to RSSYT called reverse complement [LS90]. It is anti-rectification, followed by  $180^\circ$  rotation. Moreover, if  $T$  is a SSYT with right key  $\text{key}(\alpha)$ , then the left key of  $T$ 's image is  $\text{key}^R(\alpha)$ . Under this bijection, we may transform the SSYT rule for Demazure character (2.3) into a RSSYT rule:

$$\kappa_\alpha = \sum_{K_-(T) \leq \text{key}^R(\alpha)} x^{\text{wt}(T)}, \tag{7.1}$$

where  $T$  is a RSSYT.

This rule is generalized to Lascoux polynomials by [BSW20, SY21]:

$$\mathfrak{L}_\alpha^{(\beta)} = \sum_{K_-(L(T)) \leq \text{key}^R(\alpha)} x^{\text{wt}(T)} \beta^{\text{ex}(T)}, \tag{7.2}$$

where  $T$  is a RSVT.

One can prove Theorem 3.16 by building an appropriate bijection between the SVT appeared in (3.3) and the RSVT in (7.2). More explicitly, we may describe the problem as follows.

**Problem 7.1.** Find a map  $\Phi$  that sends a SSYT to a RSSYT, satisfying:

1.  $\Phi$  preserves  $\text{wt}(\cdot)$  and  $\text{ex}(\cdot)$ .
2. If  $T$  is a RSVT with  $K_+(T) = \text{key}(\alpha)$ , then  $L(\Phi(T))$  has left key  $\text{key}^R(\alpha)$ .

## 7.2. Tableau complexes

As mentioned in §1, we can view  $\text{SVT}(\alpha)$  as a sub-complex of the Young tableau complex. Knutson, Miller and Yong [KMY08] showed that the Young tableau complex is homeomorphic to a ball or a sphere.

**Problem 7.2.** Determine whether the sub-complex  $\text{SVT}(\alpha)$  is also homeomorphic to a ball or a sphere.

## Acknowledgements

We are especially grateful to Travis Scrimshaw for carefully reading an earlier version of this paper and giving many useful comments. We also thank two anonymous referees for thorough reports. We are grateful to Jianping Pan, Brendon Rhoades, Mark Shimozono, and Alexander Yong for helpful conversations.

## References

- [BKS<sup>+</sup>08] Anders Skovsted Buch, Andrew Kresch, Mark Shimozono, Harry Tamvakis, and Alexander Yong. Stable Grothendieck polynomials and K-theoretic factor sequences. *Mathematische Annalen*, 340(2):359–382, 2008. doi:10.1007/s00208-007-0155-6.

- [BS17] Daniel Bump and Anne Schilling. *Crystal bases: representations and combinatorics*. World Scientific Publishing Company, 2017. doi:10.1142/9876.
- [BSW20] Valentin Buciumas, Travis Scrimshaw, and Katherine Weber. Colored five-vertex models and Lascoux polynomials and atoms. *Journal of the London Mathematical Society*, 102(3):1047–1066, 2020. doi:10.1112/jlms.12347.
- [Dem74] Michel Demazure. Une nouvelle formule des caracteres. *Bull. Sci. Math*, 2(98):163–172, 1974.
- [Ful96] William Fulton. *Young tableaux: with applications to representation theory and geometry*. Number 35 in London Mathematical Society Student Texts. Cambridge University Press, 1996. doi:10.1017/CB09780511626241.
- [Kas90] Masaki Kashiwara. Crystalizing theq-analogue of universal enveloping algebras. *Communications in Mathematical Physics*, 133(2):249–260, 1990.
- [Kas91] Masaki Kashiwara. On crystal bases of the q-analogue of universal enveloping algebras. *Duke Mathematical Journal*, 63(2):465–516, 1991.
- [Kas93] Masaki Kashiwara. The crystal base and Littelmann’s refined Demazure character formula. *Duke Mathematical Journal*, 71(3):839–858, 1993.
- [KMY08] Allen Knutson, Ezra Miller, and Alexander Yong. Tableau complexes. *Israel Journal of Mathematics*, 163(1):317–343, 2008. doi:10.1007/s11856-008-0014-5.
- [Las01] Alain Lascoux. Transition on grothendieck polynomials. In *Physics and combinatorics*, pages 164–179. World Scientific, 2001. doi:10.1142/9789812810007\_0007.
- [Las04] Alain Lascoux. Schubert & Grothendieck: un bilan bidécennal. *Séminaire Lotharingien de Combinatoire*, 50:B50i, 2004.
- [LS82] Alain Lascoux and Marcel-Paul Schützenberger. Structure de hopf de l’anneau de cohomologie et de l’anneau de grothendieck d’une variété de drapeaux. *CR Acad. Sci. Paris Sér. I Math*, 295(11):629–633, 1982.
- [LS89] Alain Lascoux and Marcel-Paul Schützenberger. Tableaux and noncommutative Schubert polynomials. *Functional Analysis and Its Applications*, 23(3):223–225, 1989.
- [LS90] Alain Lascoux and Marcel-Paul Schützenberger. Keys & standard bases. *Institute for Mathematics and Its Applications*, 19:125–144, 1990.
- [Mon16] Cara Monical. Set-valued skyline fillings. 2016. arXiv:1611.08777.
- [MPS21] Cara Monical, Oliver Pechenik, and Travis Scrimshaw. Crystal structures for symmetric Grothendieck polynomials. *Transformation Groups*, pages 1–51, 2021. doi:10.1007/s00031-020-09623-y.
- [PS19] Oliver Pechenik and Travis Scrimshaw. K-theoretic crystals for set-valued tableaux of rectangular shapes. 2019. arXiv:1904.09674.
- [RS95] Victor Reiner and Mark Shimozono. Key polynomials and a flagged Littlewood—Richardson rule. *Journal of Combinatorial Theory, Series A*, 70(1):107–143, 1995.

- [RY13] Colleen Ross and Alexander Yong. Combinatorial rules for three bases of polynomials. 2013. [arXiv:1302.0214](#).
- [RY21] Victor Reiner and Alexander Yong. The “Grothendieck to Lascoux” conjecture. 2021. [arXiv:2102.12399](#).
- [SB02] Anders Skovsted Buch. A Littlewood-Richardson rule for the K-theory of Grassmannians. *Acta Mathematica*, pages 37–78, 2002. [doi:10.1007/BF02392644](#).
- [SY21] Mark Shimozono and Tianyi Yu. Grothendieck to Lascoux expansions. 2021. [arXiv:2106.13922](#).
- [Wil13] Matthew J Willis. A direct way to find the right key of a semistandard Young tableau. *Annals of Combinatorics*, 17(2):393–400, 2013. [doi:10.1007/s00026-013-0187-4](#).