

IMPROVED STABILITY FOR THE SIZE AND STRUCTURE OF ITERATED SUMSETS IN \mathbb{Z}^d

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Abstract. Let $A \subset \mathbb{Z}^d$ be a finite set. It is known that the sumset NA has predictable size ($|NA| = P_A(N)$ for some $P_A(X) \in \mathbb{Q}[X]$) and structure (all of the lattice points in some finite cone other than all of the lattice points in a finite collection of exceptional sub-cones), once N is larger than some threshold. In previous work, the first effective bounds for both of these thresholds were established, for an arbitrary set A . In this article we substantially improve each of these bounds, coming much closer to the corresponding lower bounds known.

Keywords. Sumsets, Set addition, Khovanskii polynomial, Structure Theorem, Explicit Bounds

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1. Introduction

Let $A \subset \mathbb{Z}^d$ be a finite set, and for each positive integer N consider the sumset

$$NA := \{a_1 + \cdots + a_N : a_i \in A \text{ for all } i\}.$$

When N is sufficiently large, NA becomes rigidly structured. In this article we study two indicators of such structure, establishing that the values of N which are “sufficiently large” are not too large (and indeed are near to what we would guess are the smallest such N).

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The first notion involves the size $|NA|$. Start with the convex hull of A , denoted

$$H(A) := \left\{ \sum_{a \in A} c_a a : \text{Each } c_a \in \mathbb{R}_{\geq 0}, \sum_{a \in A} c_a = 1 \right\}.$$

Certainly $NA \subset NH(A) \cap \mathbb{Z}^d$, and therefore $|NA| \leq |NH(A) \cap \mathbb{Z}^d|$. Ehrhart showed ([Ehr62] [BR15, Theorem 3.8]) that there is a polynomial $R_A \in \mathbb{Q}[X]$ of degree at most d for which

$$|NH(A) \cap \mathbb{Z}^d| = R_A(N)$$

for all positive integers N . Therefore $|NA| \leq R_A(N)$ for all N but one can readily find examples for which $|NA| < R_A(N)$ for all N : for instance, if $d = 1$ and $A = \{0, 3, 5\}$ then $H(A) = [0, 5]$ and $|NA| = 5N - 5 < R_A(N) = 5N + 1$ for all $N \geq 3$.

Even though $|NA|$ is not equal to the Ehrhart polynomial in this example, it is still equal to a polynomial in N once N is sufficiently large. This was established when $A \subset \mathbb{Z}$ by Nathanson [Nat72], using an explicit combinatorial argument and, remarkably, this holds in arbitrary dimension:

Theorem 1.1 (Khovanskii [Kho92]). *Let $A \subset \mathbb{Z}^d$ be finite. There is a polynomial $P_A \in \mathbb{Q}[X]$ of degree at most d , and a threshold $N_{\text{Kh}}(A)$, such that $|NA| = P_A(N)$ provided $N \geq N_{\text{Kh}}(A)$.*

Khovanskii's proof related the sequence $N \mapsto |NA|$ to the Hilbert function of a certain graded module over the polynomial ring $\mathbb{C}[X_1, \dots, X_\ell]$ (where $\ell = |A|$), and so agrees with the Hilbert polynomial of the graded module once $N \geq N_{\text{Kh}}(A)$. But Hilbert's proof [Eis95, Theorem 1.11] does not yield an explicit bound on $N_{\text{Kh}}(A)$. Nathanson and Ruzsa [NR02] later gave a combinatorial proof of Theorem 1.1, but this did not provide an effective bound on $N_{\text{Kh}}(A)$ either, relying on the following well-known principle (proved in [GS20, Lemma 5], say).

Lemma 1.2 (The Mann–Dickson Lemma). *For any $S \subset \mathbb{Z}_{\geq 0}^d$ there exists a finite subset $S_{\min} \subset S$ such that for all $s \in S$ there exists $x \in S_{\min}$ with $s - x \in \mathbb{Z}_{\geq 0}^d$.*

Prior to 2021, explicit bounds were known when $d = 1$ ([Nat72, WCC11, GS20, GW21], with the strongest results in [Lev22]); when $H(A)$ is a d -simplex ([CG21], with a refinement in [GSW23]); or when $H(A)$ is d -dimensional and $|A| = d + 1$ or $d + 2$ ([CG21], with a refinement in [GSW23]).

In [GSW23], the first effective bounds for arbitrary d and arbitrary $A \subset \mathbb{Z}^d$ were proved. In particular, [GSW23, Theorem 1.1] showed that

$$N_{\text{Kh}}(A) \leq (2|A| \cdot \text{width}(A))^{(d+4)|A|}, \quad (1.1)$$

where $\text{width}(A) := \max_{a_1, a_2 \in A} \|a_1 - a_2\|_\infty$ is the ‘width’ of A . The proof used a complicated explicit linear algebra argument to bound $|S_{\min}|$ in the Nathanson–Ruzsa argument.

By returning to Khovanskii's original approach, and adapting techniques in Gröbner bases from [Stu96b, Chapter 4] as applied to toric ideals, we have been able to greatly improve (1.1). To state the new bound, we define two quantities that will occur frequently throughout.

Definition 1.3. If $A = \{a_1, \dots, a_\ell\} \subset \mathbb{Z}^d$, we define

$$\begin{aligned} \text{Vol}^{\dagger, \max}(H(A)) &:= \max_{\{i_0, i_1, \dots, i_d\} \subset \{1, \dots, \ell\}} |\det(a_{i_1} - a_{i_0}, \dots, a_{i_d} - a_{i_0})| \\ \text{Vol}^{\dagger, \min}(H(A)) &:= \min_{\substack{\{i_0, i_1, \dots, i_d\} \subset \{1, \dots, \ell\} \\ \det(a_{i_1} - a_{i_0}, \dots, a_{i_d} - a_{i_0}) \neq 0}} |\det(a_{i_1} - a_{i_0}, \dots, a_{i_d} - a_{i_0})|. \end{aligned}$$

Remark 1.4. Note that $\frac{1}{d!} \text{Vol}^{\dagger, \max}(H(A))$ is equal to the volume of the largest d -simplex subtended by elements of A . In particular $\text{Vol}^{\dagger, \max}(H(A)) \leq d! \text{Vol}(H(A))$. By Hadamard’s inequality we also have $\text{Vol}^{\dagger, \max}(H(A)) \leq d^{d/2} \text{width}(A)^d$.

Letting $\Lambda_{A-A} \subset \mathbb{Z}^d$ denote the lattice generated by $A - A$, our first result is as follows.

Theorem 1.5 (Improved Khovanskii threshold). *Suppose that $A \subset \mathbb{Z}^d$ is finite and that Λ_{A-A} is d -dimensional. Then*

$$N_{\text{Kh}}(A) \leq |A|^2 \text{Vol}^{\dagger, \max}(H(A)) - |A| + 1. \tag{1.2}$$

Our proof is motivated by notions in algebraic geometry (as in [Stu96b, Chapter 4]), but we present a simpler, more-or-less equivalent, formulation using only linear algebra. This will be useful when considering the second notion of structure for NA , discussed below.

Theorem 1.5 implies the upper bounds

$$N_{\text{Kh}}(A) \leq d!|A|^2 \text{Vol}(H(A)) - |A| + 1$$

and

$$N_{\text{Kh}}(A) \leq |A|^2 d^{d/2} \text{width}(A)^d - |A| + 1,$$

indicating the scale of improvement over (1.1). These may be compared with lower bounds. For example, when $|A| = d + 2$ and $\Lambda_{A-A} = \mathbb{Z}^d$ it was shown in [CG21, Theorem 1.2] that $N_{\text{Kh}}(A) = d! \text{Vol}(H(A)) - d - 1$. This means that Theorem 1.5 is optimal up to the $|A|^2$ term (and the $|A|^2$ term in (1.2) cannot be replaced by 1, as $\text{Vol}^{\dagger, \max}(H(A)) < d! \text{Vol}(H(A))$ for some sets with $|A| = d + 2$).

The bound on $N_{\text{Kh}}(A)$ is related to an influential conjecture in algebraic geometry called the Eisenbud–Goto regularity conjecture [MP18]. Though now known to be false in full generality, the conjecture may still be true for projective toric varieties, which is the relevant case for bounding $N_{\text{Kh}}(A)$. A proof of this case of the conjecture would imply $N_{\text{Kh}}(A) \leq d! \text{Vol}(H(A)) - |A| + O_d(1)$ which, given the above comments on the bounds when $|A| = d + 2$, would be essentially optimal. We direct the interested reader to [Stu97, Conjectures 4.1 and 4.2], also available at [Stu96a], and to [Stu96b, Chapter 4].

Strong, in some ways optimal, bounds on $|NA|$ when $N < N_{\text{Kh}}(A)$ are given in [EM22, EM23].

For the second notion of structure, we consider the inclusion $NA \subset NH(A) \cap \mathbb{Z}^d$ in more detail, an inclusion introduced in [GS20], with antecedents in Khovanskii’s original paper [Kho92].

Let $\text{ex}(H(A)) \subset A$ denote the set of extremal points of the polytope $H(A)$, and translate A so that $0 \in \text{ex}(H(A))$ and $\Lambda_A = \mathbb{Z}^d$, without loss of generality. Then $NH(A) \subset C_A$ where

$$C_A := \left\{ \sum_{a \in A} c_a a : c_a \in \mathbb{R}_{\geq 0} \text{ for all } a \right\}$$

is the cone generated by A , and the semigroup generated by A is the nested union (as $0 \in A$)

$$\mathcal{P}(A) := \bigcup_{N=1}^{\infty} NA \subset C_A \cap \mathbb{Z}^d.$$

The set of *exceptional elements* are those lattice points in C_A which do not belong to $\mathcal{P}(A)$,

$$\mathcal{E}(A) := (C_A \cap \mathbb{Z}^d) \setminus \mathcal{P}(A),$$

and so

$$NA \subset (NH(A) \cap \mathbb{Z}^d) \setminus \mathcal{E}(A).$$

Similarly, for all $a \in \text{ex}(H(A))$ we have $0 \in a - \text{ex}(H(A)) = \text{ex}(H(a - A))$. Since $\Lambda_{a-A} = \mathbb{Z}^d$ too, we have

$$N(a - A) \subset (NH(a - A) \cap \mathbb{Z}^d) \setminus \mathcal{E}(a - A).$$

Rearranging and taking the intersection over all $a \in \text{ex}(H(A))$, we get

$$NA \subset (NH(A) \cap \mathbb{Z}^d) \setminus \left(\bigcup_{a \in \text{ex}(H(A))} (aN - \mathcal{E}(a - A)) \right). \quad (1.3)$$

It was shown in [GS20] that there is equality in (1.3) once N is sufficiently large. That is, there exists a constant $N_{\text{Str}}(A)$ such that if $N \geq N_{\text{Str}}(A)$ then

$$NA = (NH(A) \cap \mathbb{Z}^d) \setminus \left(\bigcup_{a \in \text{ex}(H(A))} (aN - \mathcal{E}(a - A)) \right), \quad (1.4)$$

so that NA fills out to its maximal possible size. This was proved by Nathanson [Nat72] when $d = 1$ and for $d \geq 2$ in [GS20]; however the proof in [GS20] did not produce an upper bound for the value of $N_{\text{Str}}(A)$ as it relied on the ineffective Lemma 1.2. The article [GSW23, Theorem 1.3] then gave the first effective bound on $N_{\text{Str}}(A)$ for all A :

$$N_{\text{Str}}(A) \leq (d|A| \cdot \text{width}(A))^{13d^6}. \quad (1.5)$$

Previous bounds for $N_{\text{Str}}(A)$ were known when $d = 1$ [Nat72, WCC11, GS20, GW21, Lev22] and when $H(A)$ is a d -simplex ([CG21], with refined bounds in [GSW23]).

The proof of (1.5) in [GSW23] was intricate involving an ‘‘induction on dimension’’ strategy. This required repeated use of Siegel’s Lemma from quantitative linear algebra (in the version proved by Bombieri–Vaaler [BV83]) together with delicate geometric considerations, such as the size and shape of the intersection between neighbourhoods of two cones C_A and C_B .

Our second main result gives a strengthening of (1.5), with a much simpler proof. This is based in part on ideas from the proof of Theorem 1.5, developed out of the ideas in [Stu96b, Chapter 4]. Before stating the result, we introduce one final quantity associated to A .

Definition 1.6. Let $A \subset \mathbb{R}^d$ be finite with $\text{span}(A - A) = \mathbb{R}^d$. Given a facet (i.e. $(d - 1)$ -dimensional face) F of $H(A)$, and a point $a \in A \setminus F$, let $\text{Vol}(F, a)$ denote the volume of the polytope given by the convex hull of F and a . Then set

$$\kappa(A) = \max_F \frac{\max_a \text{Vol}(F, a)}{\min_a \text{Vol}(F, a)}.$$

Remark 1.7. There are several equivalent ways to define $\kappa(A)$. Indeed, for each F we could equivalently replace $\text{Vol}(F, a)$ by $g_F(a)$ for any affine-linear function $g_F : \mathbb{R}^d \rightarrow \mathbb{R}$ which vanishes on F and is strictly positive on $H(A) \setminus F$. For example, we could take $g_F(a)$ to be the (signed) orthogonal distance from F to a . Or we could pick linearly independent points $b^{(1)}, \dots, b^{(d)}$ in $F \cap A$ and let $g_F(a) = \det(b^{(1)} - a, \dots, b^{(d)} - a)$, where the $b^{(j)}$ are ordered to make this determinant positive for $a \in H(A) \setminus F$. Using the latter choice of g_F we see that

$$\kappa(A) \leq \frac{\text{Vol}^{\dagger, \max}(H(A))}{\text{Vol}^{\dagger, \min}(H(A))}. \tag{1.6}$$

Our main result is the first general effective bound for $N_{\text{Str}}(A)$ that captures the geometry of A by involving the quantities $\kappa(A)$, $\text{Vol}(H(A))$, and $\text{Vol}^{\dagger, \max}(H(A))$:

Theorem 1.8 (Improved structural threshold). *Let $A \subset \mathbb{Z}^d$ be a finite set, with $0 \in \text{ex}(H(A))$ and $\Lambda_A = \mathbb{Z}^d$. Then we have the following two upper bounds:*

$$N_{\text{Str}}(A) \leq (d + 1)\kappa(A) \left(d! \text{Vol}(H(A)) + (|\text{ex}(H(A))| - d - 1) \text{Vol}^{\dagger, \max}(H(A)) \right) \tag{1.7}$$

and

$$N_{\text{Str}}(A) \leq (d + 1)\kappa(A) (|A| - d - 1) \text{Vol}^{\dagger, \max}(H(A)). \tag{1.8}$$

The bound (1.7) is better when $|A|$ is substantially larger than $\text{ex}(H(A))$; and (1.8) when $d! \text{Vol}(H(A))$ is substantially larger than $\text{Vol}^{\dagger, \max}(H(A))$. Using (1.6) and bounding $\text{Vol}^{\dagger, \min}(H(A)) \geq 1$ and $\text{Vol}^{\dagger, \max}(H(A)) \leq d! \text{Vol}(H(A))$, (1.7) implies the cleaner but slightly weaker bound

$$N_{\text{Str}}(A) \leq (d + 1)(d!)^2 (|\text{ex}(H(A))| - d) \text{Vol}(H(A))^2, \tag{1.9}$$

but still much stronger than (1.5).

Similar to the Khovanskii threshold, we guess that a bound like $N_{\text{Str}}(A) \leq d! \text{Vol}(H(A))$ holds in general. Our (1.9) is roughly the square of this bound, so still far from optimal.

If $H(A)$ is a simplex then $\kappa(A) = 1$ and $|\text{ex}(H(A))| = d + 1$, so (1.7) implies that $N_{\text{Str}}(A) \leq (d + 1)! \text{Vol}(H(A))$, which essentially recovers the best known bound in this case [GSW23, Theorem 1.5].

The paper is structured as follows. In Section 2 we prove Theorem 1.5, while developing general lemmas on equations $\sum_{a \in A} a = \sum_{b \in B} b$ (with $B \subset A$) that will be useful throughout. In Section 3 we use these lemmas, with some convex geometry, to deduce Theorem 1.8.

2. Proof of Theorem 1.5

Let $A = \{a_1, \dots, a_\ell\}$. To keep track of various quantities throughout the proof, we define the *weight* of a vector $m \in \mathbb{Z}^\ell$ by $\text{wt}(m) := m \cdot \mathbf{1} = \sum_{i=1}^\ell m_i$. We also let $A_{\text{mat}} := (a_1, \dots, a_\ell)$ be the d -by- ℓ matrix formed with the a_i as column vectors, so that $A_{\text{mat}}m = \sum_i m_i a_i$.

We begin with a result and proof due to Nathanson and Ruzsa.

Proposition 2.1. *There exists a finite set of lattice points $\mathcal{M} \subset \mathbb{Z}_{\geq 0}^\ell$, as described in the proof, such that for all positive integers h we have*

$$|hA| = \sum_{T \subset \mathcal{M}} (-1)^{|T|} \binom{h - \text{wt}(m_T) + \ell - 1}{\ell - 1},$$

where m_T is the vector with $(m_T)_i := \max_{m \in T} (m)_i$, and $\binom{N}{\ell-1} = 0$ if $N < \ell - 1$.

Proof. If $x \in hA$ then let

$$\text{rep}_h(x) := \{m \in \mathbb{Z}_{\geq 0}^\ell : \text{wt}(m) = h \text{ and } A_{\text{mat}}m = x\},$$

denote the coefficient set of non-negative combinations of h elements of A that represent x . Let $m_h(x)$ be the minimum element in $\text{rep}_h(x)$ with respect to the lexicographic ordering, and let

$$\mathcal{U} := \bigcup_{h \geq 0} \bigcup_{x \in hA} \{m \in \text{rep}_h(x) : m \neq m_h(x)\}.$$

Evidently $|hA| = |\{m_h(x) : x \in hA\}|$. We will calculate this size using the relationship

$$\{m_h(x) : x \in hA\} = \{n \in \mathbb{Z}_{\geq 0}^\ell : \text{wt}(n) = h \text{ and } n \notin \mathcal{U}\}.$$

To this end, note that $\mathcal{U} + \mathbb{Z}_{\geq 0}^\ell = \mathcal{U}$. Indeed, if $y \in \mathbb{Z}_{\geq 0}^\ell$ then writing $v = A_{\text{mat}}y$ and $k = \text{wt}(y)$ we have $\text{rep}_h(x) + y \subset \text{rep}_{h+k}(x+v)$. So if $m \in \text{rep}_h(x) \cap \mathcal{U}$ then

$$m + y >_{\text{lex}} m_h(x) + y \geq_{\text{lex}} m_{h+k}(x+v).$$

So $m + y \in \mathcal{U}$ as needed.

Applying Lemma 1.2 (the Mann–Dickson Lemma) to $\mathcal{U} \subset \mathbb{Z}_{\geq 0}^\ell$ we see that the set

$$\mathcal{M} = \mathcal{M}(\mathcal{U}) := \{m \in \mathcal{U} : \text{for all } u \in \mathcal{U}, u \leq_{\text{coord}} m \implies u = m\}$$

of minimal elements is finite, where $x \leq_{\text{coord}} y$ if $x_i \leq y_i$ for all i . In particular, for every $u \in \mathcal{U}$ there exists some $m \in \mathcal{M}$ with $m \leq_{\text{coord}} u$. Therefore we can use inclusion-exclusion to obtain

$$\begin{aligned} & \{n \in \mathbb{Z}_{\geq 0}^\ell : \text{wt}(n) = h \text{ and } n \notin \mathcal{U}\} \\ &= \sum_{T \subset \mathcal{M}} (-1)^{|T|} \{n \in \mathbb{Z}_{\geq 0}^\ell : \text{wt}(n) = h \text{ and } m \leq_{\text{coord}} n \ \forall m \in T\} \\ &= \sum_{T \subset \mathcal{M}} (-1)^{|T|} \{n \in \mathbb{Z}_{\geq 0}^\ell : \text{wt}(n) = h \text{ and } m_T \leq_{\text{coord}} n\}. \end{aligned}$$

We have written this in terms of sets, and one should think of $+S$ as including the elements of S with multiplicity, and $-S$ as removing one copy of each element of S .

Note that if $n \in \mathbb{Z}_{\geq 0}^\ell$ with $\text{wt}(n) = h$ and $m_T \leq_{\text{coord}} n$, then $\text{wt}(m_T) \leq h$. In that case, writing $n = m_T + r$ we obtain

$$\{n \in \mathbb{Z}_{\geq 0}^\ell : \text{wt}(n) = h \text{ and } m_T \leq_{\text{coord}} n\} = \{r \in \mathbb{Z}_{\geq 0}^\ell : \text{wt}(r) = h - \text{wt}(m_T)\}$$

and the result follows from the usual ‘stars and bars’ bound. □

The remainder of the proof of Theorem 1.5 concerns bounding $\text{wt}(m_T)$ above. To describe this argument we introduce some more notation, which will be of use throughout the paper. Given $u \in \mathbb{Z}^\ell$ define vectors $u^+, u^- \in \mathbb{Z}_{\geq 0}^\ell$ where $(u^+)_i = \max\{0, u_i\}$ and $(u^-)_i = \max\{0, -u_i\}$, so that $u = u^+ - u^-$. If $u \in \mathbb{Z}^\ell$, we let $\text{supp}(u) = \{i : u_i \neq 0\}$. Next let

$$\mathcal{Z} = \mathcal{Z}(A) := \{z \in \mathbb{Z}^\ell : \text{wt}(z) = 0 \text{ and } A_{\text{mat}}z = 0\} \tag{2.1}$$

which is a lattice. By taking $m = z^+, m' = z^-$ with $x = A_{\text{mat}}m$ and $h = \text{wt}(m)$ we obtain

$$\mathcal{Z} = \bigcup_{h \geq 0} \bigcup_{x \in hA} \{m - m' : m, m' \in \text{rep}_h(x)\}.$$

We continue with a lemma relating \mathcal{M} and \mathcal{Z} .

Lemma 2.2. *Given $m \in \mathcal{M}$ let $x = A_{\text{mat}}m$ and $h = \text{wt}(m)$. Then $\text{supp}(m) \cap \text{supp}(m_h(x)) = \emptyset$. Moreover if there exists $v \in \mathcal{Z} \setminus \{0\}$ with $v^+ \leq_{\text{coord}} m$ and $v^- \leq_{\text{coord}} m_h(x)$ then $v^+ = m$, $v^- = m_h(x)$, and $v = m - m_h(x)$.*

Proof. Write $n = m_h(x)$ so that $A_{\text{mat}}(m - n) = x - x = 0$. If $\text{supp}(m) \cap \text{supp}(n) \neq \emptyset$, say that $m_i, n_i \geq 1$. Then $m - e_i >_{\text{lex}} n - e_i$ with $m - e_i, n - e_i \in \mathbb{Z}_{\geq 0}^\ell$ so $m - e_i \in \mathcal{U}$, and $m - e_i <_{\text{coord}} m$, contradicting that $m \in \mathcal{M}(\mathcal{U})$. So $\text{supp}(m) \cap \text{supp}(n) = \emptyset$ as claimed.

Now if $v \in \mathcal{Z} \setminus \{0\}$ with $v^+ \leq_{\text{coord}} m$ and $v^- \leq_{\text{coord}} n$ then $v^+ >_{\text{lex}} v^-$. Indeed, if not then $w := v^+ + n - v^- <_{\text{lex}} n$ and $w \in \mathbb{Z}_{\geq 0}^\ell$. Moreover $m - w = (m - n) - (v^+ - v^-) \in \mathcal{Z}$, so that $w \in \text{rep}_h(x)$ with $w <_{\text{lex}} n$. However $n = m_h(x) \leq_{\text{lex}} w$ by definition, which gives a contradiction. So $v^+ >_{\text{lex}} v^-$.

Finally, let $y = A_{\text{mat}}v^+$ and $k = \text{wt}(v^+)$, so that $v^+, v^- \in \text{rep}_k(y)$ and $v^+ \in \mathcal{U}$ (as $v^+ >_{\text{lex}} v^-$). Then $v^+ \leq_{\text{coord}} m$ and $m \in \mathcal{M}$, so $v^+ = m$. Therefore $y = x, k = h$ and so $v^- \in \text{rep}_h(x)$ which implies that $n \leq_{\text{lex}} v^-$. Moreover $v^- \leq_{\text{coord}} n$ which implies $v^- \leq_{\text{lex}} n$, and so $v^- = n$. So $v = v^+ - v^- = m - n$ as claimed. □

We define $\mathcal{Z}^\dagger = \mathcal{Z}^\dagger(A)$ by

$$\mathcal{Z}^\dagger := \{u \in \mathcal{Z} \setminus \{0\} : \text{If } v \in \mathcal{Z} \setminus \{0\} \text{ with } \text{supp}(v) \subset \text{supp}(u) \text{ then } v = \lambda u \text{ for some } \lambda \in \mathbb{Z}\}.$$

Note that if $v \in \mathcal{Z} \setminus \{0\}$ then there must exist some $u \in \mathcal{Z}^\dagger$ with $\text{supp}(u) \subset \text{supp}(v)$. It transpires that elements in \mathcal{Z}^\dagger may be strongly controlled, and this in turn will help control \mathcal{Z} and finally \mathcal{M} .

Lemma 2.3. *If $u \in \mathcal{Z}^\dagger$ then $\|u\|_\infty := \max_i |u_i| \leq \text{Vol}^{\dagger, \max}(H(A))$.*

Proof. For each $a_i \in A$ let $b_i = \begin{pmatrix} a_i \\ 1 \end{pmatrix} \in \mathbb{Z}^{d+1}$. If $\text{supp}(u) = \{i_1, \dots, i_r\}$ then the only linear dependence (up to scalars) amongst the vectors $\{b_{i_1}, \dots, b_{i_r}\}$ is $\sum_j u_{i_j} b_{i_j} = 0$, so that the $(d+1)$ -by- r matrix $M = (b_{i_1}, \dots, b_{i_r})$ has rank $r-1$. Since $\text{span}(\{b_1, \dots, b_\ell\}) = \mathbb{R}^{d+1}$ (as Λ_{A-A} is d -dimensional), we can find column vectors $b_{i_{r+1}}, \dots, b_{i_{d+2}}$ such that the $(d+1)$ -by- $(d+2)$ matrix $M' = (b_{i_1}, \dots, b_{i_{d+2}})$ has rank $d+1$ and so has a 1-dimensional null space. Cramer's rule gives a non-zero null vector

$$w := \sum_{j=1}^{d+2} (-1)^j \det(b_{i_1} \cdots, b_{i_{j-1}}, b_{i_{j+1}}, \cdots, b_{i_{d+2}}) \cdot e_{i_j} \quad (2.2)$$

(non-zero as the subdeterminants cannot all be zero since M' has rank $d+1$). We already have the null vector u , so w and u must be scalar multiples of one another. In particular $\text{supp}(w) \subset \text{supp}(u)$, and hence $w = \lambda u$ for some $\lambda \in \mathbb{Z}$. This implies that $|u_{i_j}| \leq |w_{i_j}| \leq |\det(b_{i_1} \cdots, b_{i_{j-1}}, b_{i_{j+1}}, \cdots, b_{i_{d+2}})|$ for all j , and hence

$$\|u\|_\infty \leq \max_{\{k_0, k_1, \dots, k_d\} \subset \{1, \dots, \ell\}} \left| \det \left(\begin{pmatrix} a_{k_0} \\ 1 \end{pmatrix}, \dots, \begin{pmatrix} a_{k_d} \\ 1 \end{pmatrix} \right) \right| = \text{Vol}^{\dagger, \max}(H(A)).$$

For the final equality, we have relabelled $\{b_{i_1}, \dots, b_{i_{j-1}}, b_{i_{j+1}}, \dots, b_{i_{d+2}}\}$ as $\{a_{k_0}, \dots, a_{k_d}\}$ and then subtracted the first column from the others, expanding the determinant about the bottom row. \square

We continue by relating \mathcal{Z}^\dagger and \mathcal{Z} . To this end we write $\text{supp}(u^\pm) \subset \text{supp}(v^\pm)$ as shorthand for the two conditions $\text{supp}(u^+) \subset \text{supp}(v^+)$ and $\text{supp}(u^-) \subset \text{supp}(v^-)$.

Lemma 2.4. *If $v \in \mathcal{Z} \setminus \{0\}$ then there exists $u \in \mathcal{Z}^\dagger$ such that $\text{supp}(u^\pm) \subset \text{supp}(v^\pm)$.*

Proof. Let $w = v / \gcd_i v_i$ (where $v = (v_1, \dots, v_\ell) \in \mathbb{Z}^\ell$). If $w \in \mathcal{Z}^\dagger$ let $u = w$ and we are done, so we may assume that $w \in \mathcal{Z} \setminus \mathcal{Z}^\dagger$. If $|\text{supp}(w)| = 1$ then $w \in \mathcal{Z}^\dagger$ automatically, so we assume that $|\text{supp}(w)| \geq 2$ and proceed by induction on $|\text{supp}(w)|$. Since $w \in \mathcal{Z} \setminus \mathcal{Z}^\dagger$ is non-zero there exists $u \in \mathcal{Z} \setminus \{0\}$ with $\text{supp}(u) \subset \text{supp}(w)$ but which is not an integer multiple of w . Select $\lambda := \min_{i: u_i \neq 0} |w_i/u_i|$, which is > 0 as $\text{supp}(u) \subset \text{supp}(w)$. Pick i so that $w_i = \pm \lambda u_i$ with $u_i \neq 0$, and then adjust the sign of u so that $u_i > 0$ (and one can adjust the sign of u since \mathcal{Z} is symmetric by definition). Now let $y := u_i w - w_i u$, so that $y_i = 0$ and for all j either y_j equals 0 or has the same sign as w_j , since $|w_i u_j| = \lambda |u_i u_j| = |u_i| \cdot \lambda |u_j| \leq |u_i w_j|$. Therefore $\text{supp}(y) \subset \text{supp}(w) \setminus \{i\}$ with $\text{supp}(y^\pm) \subset \text{supp}(w^\pm)$. Note further that $y \neq 0$, since if $0 = y = u_i w - w_i u$ then $w_i \neq 1$, since u is not an integer multiple of w , but this in turn contradicts the coprimality of the coordinates of w .

Since $y \in \mathcal{Z} \setminus \{0\}$, by the induction hypothesis there exists $u \in \mathcal{Z}^\dagger$ for which

$$\text{supp}(u^\pm) \subset \text{supp}(y^\pm) \subset \text{supp}(w^\pm) = \text{supp}(v^\pm). \quad \square$$

We may iterate this argument to entirely decompose elements $v \in \mathcal{Z}$ in terms of a combination of elements $u \in \mathcal{Z}^\dagger$.

Lemma 2.5 (Decomposing using \mathcal{Z}^\dagger). *Any $v \in \mathcal{Z} \setminus \{0\}$ can be written as $\sum_{j=1}^I \lambda_j u_j$ with each $u_j \in \mathcal{Z}^\dagger$, $\lambda_j \in \mathbb{Q}_{>0}$ and $I \leq |\text{supp}(v)|$. Furthermore each $\text{supp}(u_j^\pm) \subset \text{supp}(v^\pm)$, so that $v^+ = \sum_{j=1}^I \lambda_j u_j^+$ and $v^- = \sum_{j=1}^I \lambda_j u_j^-$.*

Proof. We prove Lemma 2.5 by induction on $m := |\text{supp}(v)|$. Select $u \in \mathcal{Z}^\dagger$ by Lemma 2.4, let $\lambda := \min_{i:u_i \neq 0} v_i/u_i$ (noting v_i and u_i have the same sign as $\text{supp}(u^\pm) \subset \text{supp}(v^\pm)$), choose i so that $v_i = \lambda u_i$, and let $y := v - \lambda u$. Now $\text{supp}(y) \subset \text{supp}(w) \setminus \{i\}$ so that $|\text{supp}(y)| \leq m - 1$. If $y = 0$ (for example if $m = 1$) then $v = \lambda u$. Otherwise the result follows by the induction hypothesis. \square

The preceding lemmas may be combined to control the size of elements in \mathcal{M} .

Lemma 2.6. *If $m \in \mathcal{M}$ then $\|m\|_\infty \leq \ell \text{Vol}^{\dagger, \max}(H(A))$.*

Proof. Let $x = A_{\text{mat}}m$ and $h = \text{wt}(m)$. By Lemma 2.2, letting $u := m - m_h(x) \in \mathcal{Z}$ we have $u \neq 0$, and if $v \in \mathcal{Z} \setminus \{0\}$ with $v^+ \leq_{\text{coord}} u^+$ and $v^- \leq_{\text{coord}} u^-$ then $v = u$. Now by Lemma 2.5 we can write $u = \sum_{j=1}^I \lambda_j u_j$ with each $u_j \in \mathcal{Z}^\dagger$, $\lambda_j \in \mathbb{Q}_{>0}$ and $I \leq |\text{supp}(u)|$, where each $\text{supp}(u_j^\pm) \subset \text{supp}(u^\pm)$, so that $u^+ = \sum_{j=1}^I \lambda_j u_j^+$ and $u^- = \sum_{j=1}^I \lambda_j u_j^-$.

We claim each $\lambda_j \leq 1$, else $u_j^+ <_{\text{coord}} \lambda_j u_j^+ \leq_{\text{coord}} u^+$ and $u_j^- <_{\text{coord}} \lambda_j u_j^- \leq_{\text{coord}} u^-$. Then applying Lemma 2.2 as above with $v = u_j$ we conclude $u_j = u$, but this contradicts the strict inequality $u_j^+ <_{\text{coord}} u^+$.

Now m and $m_h(x)$ have disjoint support by Lemma 2.2, and therefore, by the triangle inequality,

$$\|m\|_\infty \leq \|u\|_\infty \leq \sum_{j=1}^I \lambda_j \|u_j\|_\infty \leq I \cdot \max_j \|u_j\|_\infty \leq \ell \cdot \max_{u \in \mathcal{Z}^\dagger} \|u\|_\infty$$

and the result then follows from Lemma 2.3. \square

Substituting this control on \mathcal{M} into the Proposition 2.1 will quickly resolve Theorem 1.5.

Proof of Theorem 1.5. Define

$$P_A(x) := \frac{1}{(\ell - 1)!} \sum_{T \subset \mathcal{M}} (-1)^{|T|} (x - \text{wt}(m_T) + \ell - 1) \cdots (x - \text{wt}(m_T) + 1).$$

We observe that

$$\frac{(h - \text{wt}(m_T) + \ell - 1) \cdots (x - \text{wt}(m_T) + 1)}{(\ell - 1)!} = \binom{h - \text{wt}(m_T) + \ell - 1}{\ell - 1}$$

for all integers $h \geq \text{wt}(m_T) - \ell + 1$. Therefore, by Proposition 2.1,

$$|h\mathcal{A}| = P_A(h) \text{ for all } h \geq \text{wt}(m_{\mathcal{M}(u)}) - \ell + 1$$

since $\max_{T \subset \mathcal{M}(u)} \text{wt}(m_T) = \text{wt}(m_{\mathcal{M}(u)})$, by definition. Hence

$$N_{\text{Kh}}(A) + \ell - 1 \leq \text{wt}(m_{\mathcal{M}(u)}) = \sum_{i=1}^{\ell} \max_{m \in \mathcal{M}(u)} |m|_i \leq \ell \max_{m \in \mathcal{M}(u)} \|m\|_\infty \leq \ell^2 \text{Vol}^{\dagger, \max}(H(A)).$$

by Lemma 2.6. This is the claimed bound on $N_{\text{Kh}}(A)$. \square

3. Proof of Theorem 1.8

Let us first describe the general strategy. Let $A \subset \mathbb{Z}^d$ be finite with $0 \in \text{ex}(H(A))$ and $\Lambda_A = \mathbb{Z}^d$. If $v \in \mathcal{P}(A)$, we aim to find u and w such that $v = u + w$, where:

- $u \in MA$, for a bounded M ;
- $w \in \mathcal{P}(B \cup \{0\})$, where $B \subset A$ is contained within a single facet of $H(A)$. One may also assume that this facet does not contain the origin.

In some ways, this strategy is similar to [GSW23, Lemma 7.1]. However, in [GSW23, Lemma 7.1] the set B was pre-determined at the outset, with the further assumptions that $v \in \mathcal{P}(A) \cap C_B$ and the further requirement that $u \in C_B$. It turns out to be much easier to prove the weaker version outlined above, where B is found as a consequence of the decomposition $v = u + w$ rather than being fixed in the hypotheses.

To find the decomposition $v = u + w$, one may consider a representation $v = \sum_{i=1}^{\ell} \eta_i a_i$ in which $\eta \in \mathbb{Z}_{\geq 0}^{\ell}$ and the weight $\text{wt}(\eta)$ is minimal. Recall that $A = \{a_1, \dots, a_{\ell}\}$ and $\text{wt}(\eta)$ denotes $\sum_i \eta_i$. In this section it will actually be more convenient to index η directly by A itself, so $v = \sum_{a \in A} \eta_a a$ and $\text{wt}(\eta) = \sum_{a \in A} \eta_a$. The basic idea is then to let

$$u = \sum_{\substack{a \in A \\ \eta_a \text{ is small}}} \eta_a a \quad \text{and} \quad w = \sum_{\substack{a \in A \\ \eta_a \text{ is large}}} \eta_a a.$$

If the set $\{a \in A : \eta_a \text{ is large}\}$ is not contained within a single facet of $H(A)$, one can use properties of the sets $\mathcal{Z}(A)$ and $\mathcal{Z}^{\dagger}(A)$ established previously (Lemmas 2.3 and 2.5) to reduce $\text{wt}(\eta)$, contradicting minimality.

Having proved this decomposition, suppose $v \in NH(A)$ as well, with N at least the right-hand side of (1.8). If the facet on which B lies is defined by $\beta = 1$ for a linear map $\beta : \mathbb{R}^d \rightarrow \mathbb{R}$, then one can apply β to both sides of the equation $v = u + w$. Writing $w = \sum_{b \in B} \lambda_b b$, we have $\beta(w) = \text{wt}(\lambda)$, and this enables us to bound $\text{wt}(\lambda)$ above in terms of N and M . Putting everything together, we can place $v \in NA$ as required. (We extend the definition of wt to mean simply the sum of the entries of a vector. We will also implicitly allow ourselves to enlarge the indexing set of a vector, by setting all previously undefined entries to zero.)

This method gives (1.8). In order to prove (1.7), which involves $|\text{ex}(H(A))|$ instead of $|A|$, one first excises the contribution from non-extremal elements (Lemma 3.7 below). This is a simple additive–combinatorial argument, adapted from similar results in [CG21] and [GSW23]. This done, one proceeds as above but with A replaced by $\text{ex}(H(A))$.

To begin the proof proper, we state some standard results on convex polytopes. Let $A \subset \mathbb{Z}^d$ be finite, and assume $0 \in \text{ex}(H(A))$ and $\text{span}(A) = \mathbb{R}^d$. Then from the structure theorem for convex polytopes [Brø83, Theorem 9.2], we know that there are linear maps $\beta_1, \dots, \beta_K, \gamma_1, \dots, \gamma_L : \mathbb{R}^d \rightarrow \mathbb{R}$ for which

$$H(A) = \bigcap_{i=1}^K \{x \in \mathbb{R}^d : \beta_i(x) \leq 1\} \cap \bigcap_{j=1}^L \{x \in \mathbb{R}^d : \gamma_j(x) \geq 0\}$$

and the sets $\{x \in H(A) : \beta_i(x) = 1\}$ and $\{x \in H(A) : \gamma_j(x) = 0\}$ form the facets of $H(A)$. For each i and j we call $\{x \in H(A) : \beta_i(x) = 1\}$ an *outer facet* of $H(A)$ and $\{x \in H(A) : \gamma_j(x) = 0\}$ an *inner facet* of $H(A)$.

We continue with a technical lemma which we will use to reduce $\text{wt}(n)$ as discussed above.

Lemma 3.1 (Preparation for reduction step). *Let $A \subset \mathbb{Z}^d$ be finite, and assume $0 \in \text{ex}(H(A))$ and $\text{span}(A) = \mathbb{R}^d$. Let $S \subset A$, and suppose that S does not lie in an outer facet of $H(A)$. Then for any linear map $\alpha : \mathbb{R}^d \rightarrow \mathbb{R}$ satisfying $\alpha(s) = 1$ for all $s \in S$ there exists $p \in H(A) \cap \text{span}(S) \cap \mathbb{Q}^d$ for which $\alpha(p) > 1$.*

Proof. Each outer facet of $H(A)$ is defined by $\{x \in H(A) : \beta_i(x) = 1\}$ for some linear map β_i . Now $\beta_i(s) \leq 1$ for all $s \in S$ as $S \subset A \subset H(A)$, and we cannot have equality for all $s \in S$ as S is not contained in any outer facet by the hypothesis, and so the *barycentre*

$$q := \frac{1}{|S|} \sum_{s \in S} s$$

of S satisfies $\beta_i(q) < 1$. Letting $\hat{\beta} = \max_i \beta_i(q) \in [0, 1)$, we see that q lies inside $\hat{\beta}H(A)$, and so for any $\varepsilon \in (0, \hat{\beta}^{-1} - 1) \cap \mathbb{Q}$ the point $p = (1 + \varepsilon)q$ lies in $H(A)$. This p is clearly also in $\text{span}(S)$ and \mathbb{Q}^d , and satisfies $\alpha(p) = (1 + \varepsilon)\alpha(q) = 1 + \varepsilon > 1$. \square

We now use this observation to prove the existence of certain relations between sums of elements in A .

Lemma 3.2 (Reduction step). *Let $A \subset \mathbb{Z}^d$ be finite, and assume $0 \in \text{ex}(H(A))$ and $\text{span}(A) = \mathbb{R}^d$. Suppose the elements of $S \subset A$ are linearly independent, and that S is not a subset of any outer facet of $H(A)$. Then there exist non-negative integers $\{\lambda_s\}_{s \in S}$ and $\{\rho_a\}_{a \in A \setminus \{0\}}$ such that*

$$\sum_{s \in S} \lambda_s s = \sum_{a \in A \setminus \{0\}} \rho_a a \quad \text{and} \quad \text{wt}(\lambda) > \text{wt}(\rho),$$

where $\lambda_s, \rho_a \leq \text{Vol}^{\dagger, \max}(H(A))$ for all $s \in S$ and $a \in A \setminus \{0\}$.

Proof. As S is linearly independent, we know that $0 \notin S$ and there exists a linear map $\alpha : \mathbb{R}^d \rightarrow \mathbb{R}$ with $\alpha(s) = 1$ for all $s \in S$. From Lemma 3.1, choose $p \in H(A) \cap \text{span}(S) \cap \mathbb{Q}^d$ with $\alpha(p) > 1$. Therefore $p = \sum_{s \in S} \gamma_s s$ for some coefficients $\gamma_s \in \mathbb{Q}$ (and we let $\gamma_a = 0$ for all $a \in A \setminus S$), and $p = \sum_{a \in A} \delta_a a$ where $\text{wt}(\delta) = 1$ and $\delta_a \in [0, 1] \cap \mathbb{Q}$ for all $a \in A$. Then

$$\sum_{s \in S} \gamma_s s = p = \sum_{a \in A} \delta_a a \quad \text{and} \quad \text{wt}(\gamma) = \alpha(p) > 1 = \text{wt}(\delta).$$

Let L be the least common denominator of all the γ_s and δ_a . Define $z_a = L(\delta_a - \gamma_a)$ for $a \in A \setminus \{0\}$, and

$$z_0 = L(\text{wt}(\gamma) - \text{wt}(\delta) + \delta_0) > 0,$$

so that

$$\text{wt}(z) = z_0 + \sum_{a \in A \setminus \{0\}} L(\delta_a - \gamma_a) = 0.$$

We then have $z \in \mathcal{Z}$ (as defined in (2.1), where we identify \mathbb{Z}^A with \mathbb{Z}^ℓ) and $\text{supp}(z^-) \subset S$.

By Lemma 2.5, we write $z = \sum_j \eta_j u_j$ with each $u_j \in \mathcal{Z}^\dagger$, $\eta_j \in \mathbb{Q}_{>0}$, and $\text{supp}(u_j^\pm) \subset \text{supp}(z^\pm)$. Now $0 \in \text{supp}(z^+)$ as $z_0 > 0$, so $0 \in \text{supp}(u^+) \subset \text{supp}(z^+)$ for some $u = u_j \in \mathcal{Z}^\dagger$ and $\text{supp}(u^-) \subset \text{supp}(z^-) \subset S$. Define

$$\lambda_s = \begin{cases} (-u_s) & \text{if } s \in \text{supp}(u^-) \\ 0 & \text{if } s \in S \setminus \text{supp}(u^-), \end{cases} \quad \text{and } \rho_a = \begin{cases} u_a & \text{if } a \in \text{supp}(u^+) \setminus \{0\} \\ 0 & \text{otherwise.} \end{cases}$$

Then, since $u \in \mathcal{Z}$, we have

$$\begin{aligned} \sum_{s \in S} \lambda_s s &= \sum_{a \in \text{supp}(u^-)} (-u_a) a = \sum_{a \in \text{supp}(u^+)} u_a a = \sum_{a \in A \setminus \{0\}} \rho_a a \\ \text{and } \text{wt}(\lambda) &= \sum_{a \in \text{supp}(u^-)} (-u_a) = \sum_{a \in \text{supp}(u^+)} u_a > \text{wt}(\rho). \end{aligned}$$

The final inequality uses the fact that $u_0 > 0$. The condition

$$\max_{s \in S, a \in A} \lambda_s, \rho_a = \|u\|_\infty \leq \text{Vol}^{\dagger, \max}(H(A))$$

then follows from Lemma 2.3. □

Using the relation from the previous lemma, we can derive the decomposition $v = u + w$ as discussed at the start of the section.

Lemma 3.3 (Regular representation). *Let $A \subset \mathbb{Z}^d$ be finite, and assume $0 \in \text{ex}(H(A))$ and $\text{span}(A) = \mathbb{R}^d$. Let $v \in \mathcal{P}(A)$. Then there is a decomposition $v = u + w$ and an outer facet F of $H(A)$ for which*

- $w \in \mathcal{P}(B \cup \{0\})$, where $B = A \cap F$;
- $u \in MA$ where $M = (|A| - 1 - |B|)(\text{Vol}^{\dagger, \max}(H(A)) - 1)$.

Proof. By definition we can write $v \in \mathcal{P}(A)$ as a $\mathbb{Z}_{\geq 0}$ -linear combination of the $a \in A$, so we select the representation

$$v = \sum_{a \in A \setminus \{0\}} \eta_a a \text{ where each } \eta_a \in \mathbb{Z}_{\geq 0}$$

for which $\text{wt}(\eta)$ is minimal, and then for which

$$T = T((\eta_a)_a) := \{a \in A \setminus \{0\} : \eta_a \geq \text{Vol}^{\dagger, \max}(H(A))\}$$

is also minimal. If T is contained in an outer facet F of $H(A)$ then we obtain the desired decomposition $v = u + w$, where

$$u := \sum_{a \in A \setminus (B \cup \{0\})} \eta_a a \in MA \quad \text{and} \quad w := \sum_{b \in B := A \cap F} \eta_b b,$$

since $\eta_a \leq \text{Vol}^{\dagger, \max}(H(A)) - 1$ for all $a \in A \setminus (B \cup \{0\})$, and $|A \setminus (B \cup \{0\})| = |A| - 1 - |B|$.

Henceforth we may assume that no such facet F exists, so that $T \neq \emptyset$. We obtain a contradiction as follows.

Case I: If the elements of T are linearly independent then we apply Lemma 3.2 with $S := T$ to obtain another representation of v ,

$$v = \sum_{a \in A \setminus \{0\}} \eta'_a a, \quad \text{where} \quad \eta'_a := \begin{cases} \eta_a - \lambda_a + \rho_a & \text{if } a \in T; \\ \eta_a + \rho_a & \text{otherwise.} \end{cases}$$

The coefficients η'_a are all non-negative since each $\eta_a, \rho_a \geq 0$ and

$$\lambda_t \leq \text{Vol}^{\dagger, \max}(H(A)) \leq \eta_t \text{ for all } t \in T.$$

However

$$\text{wt}(\eta') = \text{wt}(\eta) + \text{wt}(\rho) - \text{wt}(\lambda) < \text{wt}(\eta),$$

contradicting the minimality of $\text{wt}(\eta)$.

Case II: Otherwise the elements of T are linearly dependent and so there exist $z_t \in \mathbb{Z}$, not all zero, for which

$$\sum_{t \in T} z_t t = 0.$$

Define $z_0 := -\sum_{t \in T} z_t$, and multiply through all the z_v -values by -1 if necessary to ensure that $z_0 \geq 0$. We also define $z_a = 0$ for all $a \in A \setminus (T \cup \{0\})$, so we can consider z as a non-zero element of \mathbb{Z}^A with $z \in \mathcal{Z}$. By Lemma 2.4 there then exists $\mu \in \mathcal{Z}^\dagger$ with $\text{supp}(\mu^\pm) \subset \text{supp}(z^\pm)$, and by Lemma 2.3 we have $\|\mu\|_\infty \leq \text{Vol}^{\dagger, \max}(H(A))$.

Case IIa: If $\mu_0 \neq 0$ then we must have $\mu_0 > 0$ since $\text{supp}(\mu^\pm) \subset \text{supp}(z^\pm)$ and $z_0 > 0$. Now write $v = \sum_{a \in A} \eta'_a a$, where $\eta'_a = \eta_a + \mu_a$ for $a \neq 0$ and $\eta'_0 = 0$. We have $\eta'_a \geq 0$ for all a , since η'_a agrees with $\eta_a \geq 0$ unless $a \in T$, in which case $\eta_a \geq \text{Vol}^{\dagger, \max}(H(A))$ and $\mu_a \geq -\|\mu\|_\infty \geq -\text{Vol}^{\dagger, \max}(H(A))$. But we also have

$$\text{wt}(\eta') = \text{wt}(\eta) + \text{wt}(\mu) - \mu_0 = \text{wt}(\eta) - \mu_0 < \text{wt}(\eta),$$

contradicting the minimality of $\text{wt}(\eta)$.

Case IIb: Otherwise $\mu_0 = 0$. Since $\mu_a \leq \|\mu\|_\infty \leq \text{Vol}^{\dagger, \max}(H(A)) \leq \eta_a$ for each $a \in T$, the components of $\eta - \mu$ are all non-negative. We select $n \in \mathbb{N}$ to be the largest integer for which the components of $\eta' := \eta - n\mu$ are all non-negative. We obtain $v = \sum_{a \in A} \eta'_a a$ and $\text{wt}(\eta') = \text{wt}(\eta)$. But we must have $\eta'_t < \text{Vol}^{\dagger, \max}(H(A))$ for some $t \in T$, otherwise we can increase n , so $T(\eta')$ must be a proper subset of T , contradicting minimality of T . \square

In order to leverage the decomposition $v = u + w$ to show that $v \in NA$, we need to control how negative the evaluation $\beta(u)$ can get, when β defines an outer facet of $H(A)$. This is the purpose of the next lemma. Recall from Definition 1.6 and Remark 1.7 that

$$\kappa(A) = \max_F \frac{\max_a g_F(a)}{\min_a g_F(a)},$$

where F ranges over facets of $H(A)$, a ranges over points of $A \setminus F$, and g_F is any affine-linear function $\mathbb{R}^d \rightarrow \mathbb{R}$ which vanishes on F and is strictly positive on $H(A) \setminus F$.

Lemma 3.4 (Negative coefficients). *Let $A \subset \mathbb{R}^d$ be finite with $0 \in \text{ex}(H(A))$ and $\text{span}(A) = \mathbb{R}^d$. Let $\beta : \mathbb{R}^d \rightarrow \mathbb{R}$ be a linear map for which $F = \{x \in H(A) : \beta(x) = 1\}$ is an outer facet of $H(A)$. Then, for all $a \in A$,*

$$\beta(a) \geq 1 - \kappa(A).$$

Proof. The facet F of the d -dimensional convex polytope $H(A)$ is the convex hull of at least d points of A (see [GSW23, Lemma A.2 (4)] for a discussion). In particular there are d linearly independent $b^{(1)}, \dots, b^{(d)} \in A$ for which $\beta(b^{(j)}) = 1$ (and these uniquely determine β). Let $b_i^{(j)}$ denote the i^{th} coordinate of $b^{(j)}$ with respect to the standard basis, and for $a \in A$ let a_i denote the i^{th} coordinate with respect to the standard basis. Expressing β in coordinates and computing the necessary matrix inverses, we derive

$$\beta(a) = \frac{1}{\det B_{\text{mat}}} \sum_{i,j \leq d} (-1)^{i+j} a_i M_{ij},$$

where B_{mat} is the d -by- d matrix with $(B_{\text{mat}})_{ij} = b_i^{(j)}$, and M_{ij} is the minor formed by deleting the i^{th} row and j^{th} column of B_{mat} and taking the determinant. Yet

$$\det B_{\text{mat}} - \sum_{i,j \leq d} (-1)^{i+j} a_i M_{ij} = \det \begin{pmatrix} 1 & 1 & \cdots & 1 \\ a_1 & b_1^{(1)} & \cdots & b_1^{(d)} \\ \vdots & \vdots & & \vdots \\ a_d & b_d^{(1)} & \cdots & b_d^{(d)} \end{pmatrix},$$

as can be seen from expanding the determinant along the top row, and from column operations we have

$$\det \begin{pmatrix} 1 & 1 & \cdots & 1 \\ a_1 & b_1^{(1)} & \cdots & b_1^{(d)} \\ \vdots & \vdots & & \vdots \\ a_d & b_d^{(1)} & \cdots & b_d^{(d)} \end{pmatrix} = \det(b^{(1)} - a, \dots, b^{(d)} - a).$$

Letting $g_F(a) = \det(b^{(1)} - a, \dots, b^{(d)} - a)$, and assuming that the $b^{(j)}$ are ordered so that $\det B_{\text{mat}} = g_F(0)$ is positive, we obtain

$$\beta(a) = 1 - \frac{\det(b^{(1)} - a, \dots, b^{(d)} - a)}{\det B_{\text{mat}}} = 1 - \frac{g_F(a)}{g_F(0)} \geq 1 - \kappa(A),$$

as required. □

Remark 3.5. Less explicitly, one can argue that $1 - \beta$ and $\frac{g_F}{g_F(0)}$ are the unique affine-linear functions $\mathbb{R}^d \rightarrow \mathbb{R}$ which vanish on F and map 0 to 1, so they must agree.

We can now deduce part of Theorem 1.8:

Proof of bound (1.8). Let

$$v \in (NH(A) \cap \mathbb{Z}^d) \setminus \left(\bigcup_{b \in \text{ex}(H(A))} (bN - \mathcal{E}(b - A)) \right)$$

where $N \geq (d + 1)N_0$ with $N_0 := \kappa(A)(|A| - d - 1) \text{Vol}^{\dagger, \max}(H(A))$.

Since $v \in NH(A)$ there is some subset $S = \{s_0, \dots, s_d\} \subset \text{ex}(H(A))$ with $v \in NH(S)$, by Caratheodory's theorem [Brø83, Corollary 2.5]. Writing $v = \sum_{s \in S} c_s s$, with $c_s \geq 0$ for all s and $\text{wt}(c) = N$, there must be some $c_s \geq N_0$ as $N \geq (d + 1)N_0$. By re-labelling the vectors in S we may assume that $c_{s_0} \geq N_0$, and then

$$v' := s_0 N - v = \sum_{s \in S \setminus \{s_0\}} c_s (s_0 - s) \in (N - c_{s_0})H(s_0 - S) \subset (N - N_0)H(s_0 - S).$$

Letting $A' = s_0 - A$, we have $s_0 - S \subset A'$, so by the preceding equation v' is contained in $(N - N_0)H(A')$. We also have, by assumption, that $v \notin s_0 N - \mathcal{E}(s_0 - A)$, so $v' \notin \mathcal{E}(s_0 - A) = \mathcal{E}(A')$. We conclude that $v' \in \mathcal{P}(A')$. Note also that $|A'| = |A|$, $|\text{ex}(H(A'))| = |\text{ex}(H(A))|$, $\text{Vol}(H(A')) = \text{Vol}(H(A))$, $\text{Vol}^{\dagger, \max}(A') = \text{Vol}^{\dagger, \max}(A)$, and the same for min.

Now apply Lemma 3.3 to v' , with A' in place of A . We see that there exists an outer facet F of $H(A')$ such that we can write $v' = u + w$, where

$$w = \sum_{b \in B} \lambda_b b \quad \text{and} \quad u = \sum_{a \in A'} \eta_a a$$

with $B := A' \cap F$, all $\eta_a, \lambda_b \in \mathbb{Z}_{\geq 0}$, and

$$\text{wt}(\eta) \leq (|A'| - |B| - 1)(\text{Vol}^{\dagger, \max}(H(A')) - 1) \leq (|A| - d - 1) \text{Vol}^{\dagger, \max}(H(A)). \quad (3.1)$$

The second inequality here uses that $|B| \geq d$, which follows from the fact that every facet of the d -dimensional convex polytope $H(A')$ is the convex hull of at least d points of A' .

We know that $F = \{x \in H(A') : \beta(x) = 1\}$ for some linear map $\beta : \mathbb{R}^d \rightarrow \mathbb{R}$. Now $\beta(y) \leq 1$ for all $y \in H(A')$ and therefore, for $v' \in (N - N_0)H(A')$, we have

$$N - N_0 \geq \beta(v') = \beta(u) + \beta(w) = \sum_{a \in A'} \eta_a \beta(a) + \text{wt}(\lambda)$$

as $\beta(b) = 1$ for each $b \in B$. Moreover, combining (3.1) with Lemma 3.4 applied to A' gives

$$\text{wt}(\eta) - \sum_{a \in A'} \eta_a \beta(a) = \sum_{a \in A'} \eta_a (1 - \beta(a)) \leq \kappa(A) \text{wt}(\eta) \leq N_0.$$

Summing the last two inequalities we then obtain

$$\text{wt}(\eta) + \text{wt}(\lambda) \leq N$$

and so $v' \in NA'$. Therefore $v = s_0 N - v' \in s_0 N - NA' = N(s_0 - A') = NA$ as required. \square

It remains to prove the bound (1.7), which separates the contribution from $\text{ex}(H(A))$. To effect this separation, we begin with an argument about triangulating polytopes.

Lemma 3.6 (Splitting A into simplices centred at the origin). *Let $A \subset \mathbb{Z}^d$ be finite with $0 \in \text{ex}(H(A))$ and $\text{span}(A) = \mathbb{R}^d$. Then $H(A)$ may be partitioned as a finite union of simplices $\cup_j H(B^{(j)} \cup \{0\})$, where each $B^{(j)} \subset \text{ex}(H(A))$ is a basis of \mathbb{R}^d , and for each $i \neq j$ the set $H(B^{(i)} \cup \{0\}) \cap H(B^{(j)} \cup \{0\})$ is contained in a subspace of dimension at most $d - 1$. In particular, $H(B^{(i)} \cup \{0\}) \cap H(B^{(j)} \cup \{0\})$ has zero measure.*

When $d = 2$, this is the obvious statement that any polygon with a vertex at the origin may be decomposed into disjoint triangles, all of which have a common vertex at the origin.

Proof. The $d = 1$ case is trivial, so assume that $d \geq 2$. We will induct on dimension. Let F_1, \dots, F_K denote the list of outer facets of $H(A)$. Each F_i is a convex polytope of dimension $d - 1$, generated by points in $\text{ex}(H(A))$. Therefore, by the induction hypotheses, one may decompose F_i as a union of $(d - 1)$ -dimensional simplices of the form $H(\{a_1, \dots, a_d\})$, where $\{a_1, \dots, a_d\} \subset \text{ex}(H(A))$ is linearly independent (so as to define a $(d - 1)$ -dimensional simplex) and the intersection of any two of these simplices is contained in an affine subspace of dimension at most $d - 2$. (In fact one may further assume that there is a common vertex a_1 to all these simplices, but that will not be necessary for the induction step.)

Choose $B^{(j)}$ to be the list of such sets $\{a_1, \dots, a_d\}$, taken over all the facets F_1, \dots, F_K . We claim that these $B^{(j)}$ satisfy the requirements of the lemma. By construction, each $B^{(j)} \subset \text{ex}(H(A))$ is a basis of \mathbb{R}^d . To show that the union of the $H(B^{(j)} \cup \{0\})$ is $H(A)$, fix $x \in H(A) \setminus \{0\}$ and pick (the unique) $\lambda_x \geq 1$ such that $\lambda_x x \in \cup_K F_K$. Then $\lambda_x x \in H(B^{(j)})$ for some $B^{(j)}$. Thus there exist coefficients c_b for $b \in B^{(j)}$ such that $c_b \geq 0$, $\text{wt}(c) = 1$, and

$$\lambda_x x = \sum_{b \in B^{(j)}} c_b b.$$

We therefore have

$$x = \left(1 - \frac{1}{\lambda_x}\right) 0 + \sum_{b \in B^{(j)}} \frac{c_b}{\lambda_x} b \in H(B^{(j)} \cup \{0\}),$$

as wanted.

It remains to show that each intersection $H(B^{(i)} \cup \{0\}) \cap H(B^{(j)} \cup \{0\})$ is contained in a subspace of dimension at most $d - 1$. So fix an arbitrary non-zero $x \in H(B^{(1)} \cup \{0\}) \cap H(B^{(2)} \cup \{0\})$. There are coefficients $c_i^{(1)}, c_i^{(2)} \geq 0$ with

$$x = \sum_{i \leq d} c_i^{(1)} b_i^{(1)} = \sum_{i \leq d} c_i^{(2)} b_i^{(2)}$$

and $0 < \text{wt}(c^{(1)}), \text{wt}(c^{(2)}) \leq 1$. Letting wt_j denote $\text{wt}(c^{(j)})$, and assuming WLOG that $\text{wt}_2 \geq \text{wt}_1$, we can re-scale to obtain

$$y := \frac{x}{\text{wt}_2} = \sum_{i \leq d} \frac{c_i^{(1)}}{\text{wt}_2} b_i^{(1)} = \sum_{i \leq d} \frac{c_i^{(2)}}{\text{wt}_2} b_i^{(2)},$$

which lies in $H(B^{(1)} \cup \{0\}) \cap H(B^{(2)})$ since $\text{wt}(\frac{c^{(1)}}{\text{wt}_2}) \leq 1$ and $\text{wt}(\frac{c^{(2)}}{\text{wt}_2}) = 1$.

We claim that $\text{wt}(\frac{c^{(1)}}{\text{wt}_2}) = 1$. Suppose for contradiction that $\text{wt}(\frac{c^{(1)}}{\text{wt}_2}) < 1$, so $(1 + \varepsilon)y \in H(B^{(1)} \cup \{0\}) \subset H(A)$ for all sufficiently small $\varepsilon > 0$. Let $B^{(2)}$ be a subset of the outer facet defined by the linear map $\beta^{(2)} : \mathbb{R}^d \rightarrow \mathbb{R}$, so that $B^{(2)} \subset \{u \in \mathbb{R}^d : \beta^{(2)}(u) = 1\}$ and $H(A) \subset \{u \in \mathbb{R}^d : \beta^{(2)}(u) \leq 1\}$. Then for all sufficiently small $\varepsilon > 0$ we have

$$1 \geq \beta^{(2)}((1 + \varepsilon)y) = (1 + \varepsilon)\beta^{(2)}(y) = 1 + \varepsilon > 1.$$

This gives the desired contradiction, and we deduce that $\text{wt}(\frac{c^{(1)}}{\text{wt}_2}) = 1$. So

$$y \in H(B^{(1)}) \cap H(B^{(2)}),$$

and because $x = \text{wt}_2 y$ for some $\text{wt}_2 \in [0, 1]$ we conclude that

$$H(B^{(1)} \cup \{0\}) \cap H(B^{(2)} \cup \{0\}) = H((H(B^{(1)}) \cap H(B^{(2)})) \cup \{0\}).$$

Hence $H(B^{(1)} \cup \{0\}) \cap H(B^{(2)} \cup \{0\})$ is contained in a subspace of dimension at most $d - 1$ by the induction hypothesis (since $H(B^{(1)}) \cap H(B^{(2)})$ is contained in a subspace of dimension at most $d - 2$). \square

Using this decomposition, we can generalise an additive combinatorial argument from [GSW23] and [CG21] (which was applied when $H(A)$ was a d -simplex).

Lemma 3.7 (Restricting the influence of non-extremal elements). *Let $A \subset \mathbb{Z}^d$ be a finite set with $0 \in \text{ex}(H(A))$ and $\text{span}(A) = \mathbb{R}^d$. Then there exists a finite set $S = d! \text{Vol}(H(A))A$ for which*

$$\mathcal{P}(A) = S + \mathcal{P}(\text{ex}(H(A))).$$

The proof is similar to (but simpler than) [GSW23, Lemma 3.2] with the set $B := \text{ex}(H(A))$.

Proof. Let $v \in NA$. We will show that $v \in S + \mathcal{P}(\text{ex}(H(A)))$ by induction on N . For $N \leq d! \text{Vol}(H(A))$ we have $v \in NA \subset S \subset S + \mathcal{P}(\text{ex}(H(A)))$.

Suppose that $N > d! \text{Vol}(H(A))$. We can write $v = a_1 + a_2 + \dots + a_N$ with each $a_i \in A$. By Lemma 3.6, there is a partition $H(A) = \cup_j H(B^{(j)} \cup \{0\})$ where each $B^{(j)} \subset \text{ex}(H(A))$. Therefore we can partition $\{1, \dots, N\} = \cup_j T_j$ to obtain

$$v = \sum_j \sum_{i \in T_j} a_i,$$

where $i \in T_j$ implies that $a_i \in H(B^{(j)} \cup \{0\})$. (It could be that $a_i \in H(B^{(j)} \cup \{0\}) \cap H(B^{(j')} \cup \{0\})$ in which case we assign i to either T_j or $T_{j'}$, arbitrarily.)

Since $\text{Vol}(H(A)) = \sum_j \text{Vol}(H(B^{(j)} \cup \{0\}))$ by Lemma 3.6 there is some j for which

$$|T_j| > d! \text{Vol}(H(B^{(j)} \cup \{0\})) = |\mathbb{Z}^d / \Lambda_{B^{(j)} \cup \{0\}}|,$$

by the pigeonhole principle. Reordering the indices on the a_i we write $T_j = \{1, \dots, |T_j|\}$. Two of the $|T_j|$ partial sums

$$a_1, a_1 + a_2, \dots, a_1 + a_2 + \dots + a_{|T_j|} \pmod{\Lambda_{B^{(j)} \cup \{0\}}},$$

must be congruent to each other mod $\Lambda_{B^{(j)} \cup \{0\}}$ by the pigeonhole principle. Their difference yields a non-trivial partial sum $\sum_{i \in I} a_i \equiv 0 \pmod{\Lambda_{B^{(j)} \cup \{0\}}$ (where $I \subset T_j$ is a non-empty interval) and so this partial sum can be replaced by a sum of elements from $B^{(j)} \cup \{0\}$. Therefore

$$\sum_{i \in I} a_i \in \mathcal{P}(B^{(j)} \cup \{0\}) \subset \mathcal{P}(\text{ex}(H(A))).$$

By the induction hypothesis, we have $v - \sum_{i \in I} a_i \in S + \mathcal{P}(\text{ex}(H(A)))$, and so

$$v \in S + \mathcal{P}(\text{ex}(H(A))) + \mathcal{P}(\text{ex}(H(A))) \subset S + \mathcal{P}(\text{ex}(H(A)))$$

as required. \square

We are now ready to finish the argument by modifying the proof of (1.8).

Proof of bound (1.7). Let

$$v \in (NH(A) \cap \mathbb{Z}^d) \setminus \left(\bigcup_{b \in \text{ex}(H(A))} (bN - \mathcal{E}(b - A)) \right),$$

where $N \geq (d+1)N_0$ with

$$N_0 := \kappa(A) \left(d! \text{Vol}(H(A)) + (|\text{ex}(H(A))| - d - 1) \text{Vol}^{\dagger, \max}(H(A)) \right).$$

As in the proof of (1.8) we use Caratheodory's theorem to determine some $s_0 \in \text{ex}(H(A))$ for which

$$v' := s_0 N - v \in (N - N_0)H(A') \cap \mathcal{P}(A'),$$

where $A' := s_0 - A$. By Lemma 3.7 applied to A' , we may write $v' = y + x$ where $y \in d! \text{Vol}(H(A'))A'$ and $x \in \mathcal{P}(\text{ex}(H(A')))$. Applying Lemma 3.3 to $x \in \mathcal{P}(\text{ex}(H(A')))$ (in place of $v \in \mathcal{P}(A)$) we write $x = u + w$, where $w \in \mathcal{P}(B \cup \{0\})$ and $u \in M \text{ex}(H(A'))$, with $B = \text{ex}(H(A')) \cap F$ for some outer facet F of $H(A')$, and $M = (|\text{ex}(H(A'))| - 1 - |B|)(\text{Vol}^{\dagger, \max}(H(A')) - 1)$.

Now let

$$z = y + u = \sum_{a \in A'} \rho_a a \quad \text{and} \quad w = \sum_{b \in B} \lambda_b b,$$

and note that $\rho_a, \lambda_b \in \mathbb{Z}_{\geq 0}$ for all a and b . We obtain $v = z + w$ and

$$\text{wt}(\rho) \leq d! \text{Vol}(H(A)) + (|\text{ex}(H(A))| - 1 - d) \text{Vol}^{\dagger, \max}(H(A)), \quad (3.2)$$

using $|\text{ex}(H(A'))| = |\text{ex}(H(A))|$, $\text{Vol}^{\dagger, \max}(H(A')) = \text{Vol}^{\dagger, \max}(H(A))$, and $|B| \geq d$, as in the proof of (1.8). The outer facet F is given by $\{x \in H(\text{ex}(H(A'))) : \beta(x) = 1\}$ for some linear $\beta : \mathbb{R}^d \rightarrow \mathbb{R}$ so we again obtain

$$N - N_0 \geq \beta(v) = \beta(z) + \beta(w) = \sum_{a \in A'} \rho_a \beta(a) + \text{wt}(\lambda).$$

By again applying Lemma 3.4 to A' , this time using the bound (3.2) in place of (3.1), we obtain $\text{wt}(\rho) + \text{wt}(\lambda) \leq N$ with the modified value for N_0 , and so $v' \in NA'$. Therefore $v = s_0 N - v' \in s_0 N - NA' = N(s_0 - A') = NA$ as required. \square

Acknowledgements

Our proof of Theorem 1.5 is pretty much that presented in [Stu96b, Chapter 4], albeit written in a different mathematical language and context. It also helped inspire the proof of Theorem 1.8. Many thanks to the anonymous referees for their helpful remarks.

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